

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT		1. CONTRACT ID CODE	PAGE OF PAGES 1 6
2. AMENDMENT/MODIFICATION NO. 0258	3. EFFECTIVE DATE See Block 16C	4. REQUISITION/PURCHASE REQ. NO.	5. PROJECT NO. (If applicable)
6. ISSUED BY EM-Carlsbad EMCBC U.S. Department of Energy Carlsbad Project Office P.O. Box 3090 Carlsbad NM 88221	CODE 893032	7. ADMINISTERED BY (If other than Item 6) EMCBC - Carlsbad U.S. Department of Energy Carlsbad Project Office P.O. Box 3090 Carlsbad NM 88221	CODE 03003
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) Nuclear Waste Partnership LLC Attn: JAY HART 20501 SENECA MEADOWS PKWY SUITE 300 GERMANTOWN MD 20876		(x) 9A. AMENDMENT OF SOLICITATION NO.	
CODE 968993910		FACILITY CODE	9B. DATED (SEE ITEM 11)
		x 10A. MODIFICATION OF CONTRACT/ORDER NO. DE-EM0001971	
			10B. DATED (SEE ITEM 13) 04/20/2012

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers is extended. is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended, by one of the following methods: (a) By completing Items 8 and 15, and returning _____ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted; or (c) By separate letter or electronic communication which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by letter or electronic communication, provided each letter or electronic communication makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)
See Schedule

13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation data, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
X	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: 43.103(a)(3) Supp Agreement of the parties IAW H.9, H.10, H.11 & I.130
	D. OTHER (Specify type of modification and authority)

E. IMPORTANT: Contractor is not is required to sign this document and return 1 copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)
Payment:
OR for EMCBC
U.S. Department of Energy
Oak Ridge Financial Service Center
P.O. Box 5777
Oak Ridge TN 37831
Period of Performance: 10/01/2012 to 09/30/2020

Except as provided herein, all terms and conditions of the document referenced in Item 9 A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print) Kevin S. Donovan Chief Strategy Officer	16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) Wendy L. Bauer
15B. CONTRACTOR/OFFEROR /signature on file/	15C. DATE SIGNED 04/02/2020
16B. UNITED STATES OF AMERICA /signature on file/	16C. DATE SIGNED 04/02/2020

The purpose of this modification is as follows:

- Revise Section C, Performance Work Statement.
- Revise Section H Special Contract Clause H. 9 *Key Personnel* to incorporate changes to key personnel requested by the contractor on October 11, 2019, effective on October 30, 2019 (CO1903025). DOE approved the request by letter 19-1457 dated October 16, 2019.
- Revised Section H.10 Technical Direction to incorporate the currently designated Contracting Officer's Representative.
- Incorporate Nuclear Waste Partnership's FY20 Small Business Subcontract Plan into Section J, Attachment B, of the contract in accordance with Contract Clause H.11 Small, Small Disadvantaged, and Women-Owned Small Business Subcontracting Plan. The Plan is attached to this modification.
- Revise Section J, Attachment A – List B DOE Directives to incorporate DOE O 442.1B Department of Energy Employee Concerns Program. The List is attached to this modification.
- Revise Section J, Attachment C - Contract Deliverables. The revised Attachment C is attached to this modification and supersedes the Attachment C revised in Modification 158.

As a result, the contract is modified as follows (changes indicated in bold and hi-lite):

1. Section C, Performance Work Statement, is revised as follows:

FROM:

3.1.5 The Contractor shall be responsible for maintaining the condition of the Government owned property and equipment during the term of the contract such that the intended functionality, including safety, health, and environmental compliance, for mission needs is met. This includes enhancements to maintain facility operability for until at least year 2035 and Maintenance Plans (including Nuclear Maintenance Management Plan required by DOE O 433.1B) that addresses planned outages, equipment replacement, facility upgrades, and facility modifications. The plan shall be furnished within 6 months of written notice to proceed and updated at least annually. The Contractor shall submit a Ten-Year Site Plan per DOE O 430.1B that describes the functions listed in C.3.1.3 and the related real property requirements to effectively and efficiently perform the C.3.1.3 functions.

TO:

3.1.5 The Contractor shall be responsible for maintaining the condition of the Government owned property and equipment during the term of the contract such that the intended functionality, including safety, health, and environmental compliance, for mission needs is met. This includes enhancements to maintain facility operability for until at least year 2035 and Maintenance Plans (including Nuclear Maintenance Management Plan required by DOE O 433.1B) that addresses planned outages, equipment replacement, facility upgrades, and facility modifications. The plan shall be furnished within 6 months of written notice to proceed and updated at least annually. The Contractor shall submit a

Ten-Year Site Plan per DOE O 430.1B that describes the functions listed in C.3.1.3 and the related real property requirements to effectively and efficiently perform the C.3.1.3 functions.

The Contractor shall be responsible for the permanently installed Emergency Operations Center (EOC) Generator at the Skeen-Whitlock Building. All other Skeen-Whitlock Building real property is the responsibility of the DOE. The EOC Generator is commercial property owned by Cowperwood and provided to Contractor as Government Furnished Equipment.

4.1.2 Operations

FROM:

4.1.2.1 Waste Disposal Facilities

The Contractor shall manage, operate, maintain, repair and replace waste handling facilities, systems, and equipment. The Contractor shall actively pursue enhancements to waste handling facilities to provide increased efficiency and reliability. The Contractor shall implement a robust corrosion and preventive maintenance program and semi-annually conduct a thorough review of all buildings and systems to identify problem areas. Budgets and integrated schedules shall be updated and adjusted to reflect the latest data.

TO:

4.1.2.1 Waste Disposal Facilities

The Contractor shall manage, operate, maintain, repair and replace waste handling facilities, systems, and equipment. The Contractor shall actively pursue enhancements to waste handling facilities to provide increased efficiency and reliability. The Contractor shall implement a robust corrosion and preventive maintenance program and semi-annually conduct a thorough review of all buildings and systems to identify problem areas. Budgets and integrated schedules shall be updated and adjusted to reflect the latest data.

The Contractor shall manage and maintain, as commercial property, the Emergency Operations Center Generator at the Skeen Whitlock Building including generator configuration management and emergency readiness; preparation of procedures applicable to activation of the generator; for coordinating activities related to the Generator maintenance with the DOE and Building Owner/Landlord; and conducting preventative maintenance and services including load testing, fueling, and cleaning and treatment services.

2. Section H.9 *Key Personnel*, is modified as follows:

FROM: (Modification 247)

- (a) Unless approved in advance, in writing, by the CO, should any Key Personnel be removed, replaced, or diverted by the Contractor for reasons under the

Contractor's control (other than to maintain satisfactory standards of employee competency, conduct, and integrity under the clause at 48 CFR 970.5203-3, Contractor's Organization) within the first two years of performance from the effective date of the contract (SF 33, Block 2); or for a replacement Key Personnel within two years of being placed in the position, the Contractor shall forfeit \$500,000 in fee if said Key Personnel is the Project Manager, and \$250,000 in fee for each removal, replacement, or diversion of all other key personnel within two years after effective date of the contract or within two years of being placed in the position.

- (a) The personnel listed below are considered essential to the work being performed under this contract. Before removing, replacing, or diverting (diverting is defined as non-contract work *in excess of 10 continuous days, not to exceed 30 working days in any performance year*; and not more than two Key Personnel can be diverted at the same time) any of the listed or specified personnel, the Contractor must: (1) notify the Contracting Officer reasonably in advance; (2) submit justification (including proposed substitutions) in sufficient detail to permit evaluation of the impact on this contract; and (3) obtain the Contracting Officer's written approval. Notwithstanding the foregoing, if the Contractor deems immediate removal or suspension of any member of its management team is necessary to fulfill its obligation to maintain satisfactory standards of employee competency, conduct, and integrity under the clause at 48 CFR 970.5203-3, Contractor's Organization, the Contractor may remove or suspend such person at once, although the Contractor must notify Contracting Officer prior to or concurrently with such action.

The list of personnel in paragraph (d) below may, with the consent of the contracting parties, be amended from time to time during the course of the contract to add or delete personnel.

- (b) List of Key Personnel – the individuals listed below are considered Key Personnel for this contract:

<u>Name</u>	<u>Title</u>
Sean Dunagan	President and Project Manager
Eugene Balsmeier	Deputy Project Manager and Chief Operating Officer
Dennis Huddleston	Operations Manager
Mark Percy	TRU Waste Program Manager
Pete Graham	Chief Mining Officer

TO:

H.9 KEY PERSONNEL (Mod 58, 77, 106, 118, 178, 205, 247, 258)

- (b) Unless approved in advance, in writing, by the CO, should any Key Personnel be removed, replaced, or diverted by the Contractor for reasons under the Contractor's control (other than to maintain satisfactory standards of employee competency, conduct, and integrity under the clause at 48 CFR 970.5203-3,

Contractor's Organization) within the first two years of performance from the effective date of the contract (SF 33, Block 2); or for a replacement Key Personnel within two years of being placed in the position, the Contractor shall forfeit \$500,000 in fee if said Key Personnel is the Project Manager, and \$250,000 in fee for each removal, replacement, or diversion of all other key personnel within two years after effective date of the contract or within two years of being placed in the position.

- (c) The personnel listed below are considered essential to the work being performed under this contract. Before removing, replacing, or diverting (diverting is defined as non-contract work *in excess of 10 continuous days, not to exceed 30 working days in any performance year*; and not more than two Key Personnel can be diverted at the same time) any of the listed or specified personnel, the Contractor must: (1) notify the Contracting Officer reasonably in advance; (2) submit justification (including proposed substitutions) in sufficient detail to permit evaluation of the impact on this contract; and (3) obtain the Contracting Officer's written approval. Notwithstanding the foregoing, if the Contractor deems immediate removal or suspension of any member of its management team is necessary to fulfill its obligation to maintain satisfactory standards of employee competency, conduct, and integrity under the clause at 48 CFR 970.5203-3, Contractor's Organization, the Contractor may remove or suspend such person at once, although the Contractor must notify Contracting Officer prior to or concurrently with such action.

The list of personnel in paragraph (d) below may, with the consent of the contracting parties, be amended from time to time during the course of the contract to add or delete personnel.

- (d) List of Key Personnel – the individuals listed below are considered Key Personnel for this contract:

<u>Name</u>	<u>Title</u>
Sean Dunagan	President and Project Manager
Mark Percy	Deputy Project Manager and Chief Operating Officer
Donald McBride	Operations Manager
Keith Stone	TRU Waste Program Manager
Pete Graham	Chief Mining Officer

3. Section H.10 TECHNICAL DIRECTION - DEAR 952.242-70 (DEC 2000) (Mods 25, 32, 65, 80, 95, 128, 195, 254), paragraph (b) is modified as follows:

FROM:

- (b) The Contractor will receive a copy of the written COR designation from the Contracting Officer. It will specify the extent of the COR's authority to act on behalf of the Contracting Officer.

COR Designations (Mods 25, 32, 65, 95, 128, 195, 208)

Ronald Gill – Primary COR for the entire NWP contract - Level III Certification
(designated May 24, 2018)

Donald C. Gadbury – Alternate COR for the entire contract (with authority to act in
the absence of the Primary COR) – Level III Certification (designated May 24, 2018)

Mel J. Roy, Chief Counsel – COR for Litigation Management Plan only – Level II
Certification (designated May 1, 2014)

TO:

- (b) The Contractor will receive a copy of the written COR designation from the Contracting Officer. It will specify the extent of the COR's authority to act on behalf of the Contracting Officer.

COR Designations (Mods 25, 32, 65, 95, 128, 195, 208, 254)

Donald C. Gadbury – Primary COR for the entire contract – Level III Certification (designated July 24, 2019)

Alternate COR - TBD

COR for Litigation Management Plan only – TBD

4. All other terms and conditions of this contract remain unchanged and in full force and effect.

Section J, Attachment A - List B DOE Directives

Change: DOE O 442.1A is revised to DOE O 442.1B Department of Energy Employee Concerns Program

DOE Order	Title	*S/RID
DOE O 130.1	Budget Formulation	
DOE O 140.1	Interface with the Defense Nuclear Facilities Safety Board	X
DOE O 142.3A, Chg 1	Unclassified Foreign Visits and Assignments Program	
DOE O 150.1A	Continuity Programs	X
DOE O 151.1D	Comprehensive Emergency Management System	X
DOE O 153.1	Departmental Radiological Emergency Response Assets	X
DOE O 200.1A	Information Technology Management	
DOE O 203.1	Limited Personal use of Government Office Equipment including Information Technology	
DOE O 205.1C	Department of Energy Cybersecurity Program	
DOE O 206.1	DOE Privacy Program	
DOE O 206.2	Identity, Credential, and Access Management (ICAM)	
DOE O 210.2A	DOE Corporate Operating Experience Program	X
DOE O 221.1B	Reporting Fraud, Waste and Abuse to the Office of Inspector General	
DOE O 221.2A	Cooperation with the Office of Inspector General	
DOE O 225.1B	Accident Investigations	X
DOE O 226.1B	Implementation of Department of Energy Oversight Policy	X
DOE O 227.1A	Independent Oversight Program	X
DOE O 231.1B, Admin. Chg 1	Environment, Safety and Health Reporting	
DOE O 232.2A	Occurrence Reporting and Processing of Operations Information	X
DOE O 241.1B Admin. Chg 1	Scientific and Technical Information Management	
DOE O 243.1B, Admin. Chg 1	Records Management Program	
DOE O 252.1A, Admin. Chg 1	Technical Standards Program	
DOE O 350.1, Chg 5	Contractor Human Resource Management Programs	
DOE O 413.1B	Internal Control Program	
DOE O 413.3B Chg 5	Program and Project Management for the Acquisition of Capital Assets	
DOE O 414.1D, Admin. Chg 1	Quality Assurance	X
DOE O 420.1C, Chg 1	Facility Safety	X
DOE O 422.1, Admin. Chg 2	Conduct of Operations	X
DOE O 425.1D, Admin. Chg 1	Verification of Readiness to Startup or Restart Nuclear Facilities	X

DOE Order	Title	*S/RID
DOE O 426.2, Admin. Chg 1	Personnel Selection, Training, Qualification, and Certification Requirements for DOE Nuclear Facilities	X
DOE O 430.1C	Real Property Asset Management	
DOE O 433.1B, Admin. Chg 1	Maintenance Management Program for DOE Nuclear Facilities	X
DOE O 435.1, Chg 1	Radioactive Waste Management	X
DOE O 436.1	Departmental Sustainability	X
DOE O 442.1B	Department of Energy Employee Concerns Program	
DOE O 442.2, Chg 1	Differing Professional Opinions for Technical Issues Involving Environment, Safety, and Health	
DOE O 458.1, Admin Chg 3	Radiation Protection of the Public and the Environment	X
DOE O 460.1D	Hazardous Materials Packaging and Transportation Safety	X
DOE O 460.2A	Departmental Materials Transportation and Packaging Management	X
DOE O 470.3B	Graded Security Protection (GSP) Policy	
DOE O 470.4B, Admin Chg 1	Safeguards and Security Program Operations	
DOE O 471.1B	Identification and Protection of Unclassified Controlled Nuclear Information	
DOE O 471.3, Admin Chg 1	Identifying and Protecting Official Use Only Information	
DOE O 471.6, Admin. Chg 1	Information Security	
DOE O 472.2, Chg 1	Personnel Security	
DOE O 473.3	Protection Program Operations	
DOE O 475.1	Counterintelligence Program	
DOE O 483.1B	DOE Cooperative Research and Developments Agreements	
DOE O 522.1	Pricing of Departmental Materials and Services	
DOE O 534.1B	Accounting	
DOE O 551.1D, Chg 2	Official Foreign Travel	
DOE Policy	Title	
DOE P 451.1	National Environmental Policy Act Compliance Program	
DOE Manual	Title	*S/RID
DOE M 205.1-3	Telecommunications Security Manual	
DOE M 435.1-1 Chg 2	Radioactive Waste Management Manual	X
DOE M 460.2-1A	Radioactive Material Transportation Practices Manual	
DOE M 471.3-1, Admin Chg 1	Manual for Identifying and Protecting Official Use only Information	

PART III – LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS

**SECTION J - LIST OF ATTACHMENTS
ATTACHMENT B**

Annual Small Business Subcontracting Plan – FY 2020

Contractor: Nuclear Waste Partnership LLC

Address: 4021 National Parks Highway
Carlsbad, NM 88220

Contract Number: DE-EM-0001971

Item/Service: Various

Amount of Contract Each Year: Estimated Cost — \$325,000,000

Total Amount of Contract: \$2,411,586,579.63

Period of Contract Performance: Basic — 10/1/12 through 9/30/17

Options (If Any): Option Period 1: 10/1/17 through 9/30/20
Option Period 2: 10/1/2020 through 9/30/22

Type of Plan (Check One)

- Individual Plan (All elements developed specifically for this contract and applicable for the full term of this contract.)
- Master Plan (Goals are developed for this contract; all other elements are standard and updated as necessary.) (See FAR 52.219-9(f) (1)-(3)).
- Commercial Plan (Contractor sells large quantities of off-the-shelf commercial items to many Government agencies. Plans/goals are negotiated by a lead agency on a company-wide basis rather than for individual contracts. Plan effective only during the year for which it is approved. The contractor must provide a copy of the lead agency approval). (FAR 19.704 and 52.219-9(g)).

Nuclear Waste Partnership LLC (NWP) has developed this Small Business Subcontracting Plan (SB Plan) following the criteria prescribed in FAR 52.219-9, including other applicable requirements as stated in the clause. For purposes of this SB Plan, NWP uses the term

“subcontract” to mean any agreement (other than one involving an employer-employee relationship) entered into by a government prime contractor or subcontractor which calls for supplies or services required in the performance of the subject prime contract, a contract modification thereto, or a subcontract there under. The SB Plan and the subcontracting goals cited will, unless modified and approved by the Contracting Officer, cover the prime contract period specified.

Considering FY2020, NWP’s operational funding will be slightly higher than that in FY2019. New awards for capital projects and general plant project improvements are anticipated throughout FY2020, including projects such as the fire loop, electrical substation replacement, a new conveyance and installation of a new site public address system. In addition, significant funding will be allocated during FY2020 for previously awarded capital projects at the Waste Isolation Pilot Plant (WIPP) including the utility shaft and SSC ventilation filter building.

As was experienced during FY2019, small businesses were not able to meet the requirements associated with the SSC ventilation building and utility shaft. Nevertheless, small business participation was sought and encouraged on these opportunities. Much of the funding for the awarded filter building and utility shaft will be applied during FY2020. Because the dollar value of these capital projects is so much greater than NWP’s standard operational base, NWP’s opportunity to meet the established small business goals remains unachievable for FY2020.

With the following conditions, NWP is requesting as part of this subcontracting plan that the capital project dollars continue to be excluded from the total subcontracting base for FY2020.

- Condition 1 – The FY2020 goal percentages remain the same as the FY2019 percentages for each level.
- Condition 2 – All NWP large business subcontractors receiving capital project awards where the value exceeds the FAR requirements of \$700,000 or \$1.5 million for construction and which has subcontracting possibilities, shall provide a subcontracting plan with goals equal to or greater than NWP’s goals.
- Condition 3 – Any capital project with a value less than \$3 million, must be offered to a small business in any of the small business categories or a justification must be submitted to the contracting officer prior to starting the acquisition.

I. Goals

In alignment with the first condition stated above, NWP has set small business subcontracting goals for each of the specified categories consistent with the levels in FY2019. NWP will continue to maximize small business participation to the fullest extent possible. The following goals are expressed in terms of both dollars and percentages for large business (LB), small business (SB), veteran-owned small business (VOSB), service-disabled veteran owned small business (SDVOSB), HUBZone, small disadvantaged business (SDB), and woman owned small business (WOSB).

- A. The following percentage goals (expressed in terms of a percentage of total planned subcontracting dollars) are applicable to the contract period for FY2020.
 - 1. The total estimated dollar value of all non-capital project related subcontracting (to all types of business concerns) under this contract for FY2020 is \$116M less \$15M for estimated affiliate transactions and \$3M in credit card purchases, resulting in a revised estimated total of \$98M to be used for small business determination.

- a. LB Concerns. Total estimated dollar value and percent of planned subcontracting with large business (all business concerns classified as “other than small”), less affiliate transactions; (% of 1. Above): \$49M and 50%.
- b. SB Concerns. Total estimated dollar value and percent of planned subcontracting with small businesses (include SB, VOSB, SDVOSB, HUBZone, SDB, and WOSB concerns) (% of 1. Above): \$49M and 50%.
- c. VOSB Concerns. Total estimated dollar value and percent of planned subcontracting with veteran-owned small business (% of 1. Above): \$2.94M and 3%. This amount is included in the amount shown under A.1.b above as a subset.
- d. SDVOSB Concerns. Total estimated dollar value and percent of planned subcontracting with service-disabled veteran-owned small business (% of 1. Above): \$2.94M and 3%. This amount is included in the amount shown under A.1.b above as a subset.
- e. HUBZone Concerns. Total estimated dollar value and percent of planned subcontracting with HUBZone small business (% of 1. Above): \$2.94M and 3%. This amount is included in the amount shown under A.1.b above as a subset.
- f. SDB Concerns. Total estimated dollar value and percent of planned subcontracting with small disadvantaged businesses (% of 1. Above): \$4.9M and 5%. This amount is included in the amount shown under A.1.b above as a subset.
- g. WOSB Concerns. Total estimated dollar value and percent of planned subcontracting with small women-owned businesses (% of 1. Above): \$4.9M and 5%. This amount is included in the amount shown under A.1.b above as a subset.

I.B Description of Products/Services to Be Subcontracted

I.B.1 Principal Categories of Subcontracting

The products and/or services NWP intends to subcontract will be reviewed during the planning phases of applicable procurement actions to maximize opportunities for small businesses to participate. The principal categories of subcontracting opportunities are for CCP, Operations, Site Support, Engineering, Transportation, and Site Infrastructure. Infrastructure will support refurbishment of the WIPP Site through general plant project improvements.

I.B.2 Method Used to Develop the Subcontracting Goals

To establish subcontracting goals and commitments, NWP considered the work to be performed and historical WIPP SB performance. Products and services to be subcontracted to small business entities were developed by reviewing and evaluating the WIPP Contract Performance Work Statement, considering local, state, and federal regulations and guidelines and using current and past experience in subcontracting to SBs at WIPP and at other DOE locations. The method and basis (in dollars) used to establish the percentage of goals and the areas to be subcontracted to SBs, including VOSB, SDVOSB, HUBZone, SDB, and WOSB, involved analysis of the following:

- The contract performance work statement and expected project funding
- Data from NWP parent companies including past experience with the development and implementation of SB subcontracting programs
- Information on SBs that NWP affiliates have successfully used at other locations
- Available data on current and past NWP parent company subcontracting
- Anticipated acquisition requirements based on project objectives
- Published SB databases with accurate company size, capability and experience information in order to expand upon our list of qualified small business entities to be considered for future subcontracting opportunities

NWP will follow preference programs and implement new programs to meet our specified goals. We will make additional efforts to expand the small business supplier base to include a wider geographic area to encompass other regions of the United States, when appropriate.

NWP will evaluate all existing large business subcontracts or agreements greater than \$5M for optimal opportunities and/or performance by small business. NWP will review subcontract performance, option periods, and total cost. Where applicable, NWP may consider these subcontracts or agreements for re-competition and issue a new or revised subcontract or agreement to maximize small business opportunities.

I.B.3 Indirect Costs

Indirect costs are not included in the dollar and percentage subcontracting goals.

II. Program Administrator

NWP designates a representative to administer the Small Business Subcontracting Plan:

Name:	Ryan Williamson
Title:	Small Business Program Manager
Address:	Nuclear Waste Partnership LLC PO Box 2078 Carlsbad, NM 88221
Telephone:	575-234-7123

The Small Business Program Manager will have general overall responsibility for NWP's subcontracting program, (i.e., developing, preparing, and executing individual subcontracting plans and monitoring performance relative to the requirements of the SB Plan). These duties may include, but are not limited to, the following activities:

- Developing and maintaining source lists of SB, VOSB, SDVOSB, HUBZone, SDB, and WOSB concerns.
- Ensuring that procurement packages are structured to permit participation of SB, VOSB, SDVOSB, HUBZone, SDB, and WOSB.
- Ensuring inclusion of SB, VOSB, SDVOSB, HUBZone, SDB, and WOSB concerns whose capabilities coincide with solicitations requiring their products or services.
- Reviewing solicitations to identify and remove language or requirements which may restrict or prohibit participation of SB, VOSB, SDVOSB, HUBZone, SDB, and WOSB.

- Ensuring that proper documentation provided by the buyer if selection not made to a SB, VOSB, SDVOSB, HUBZone, SDB, and WOSB concern that provided low bid.
- Ensuring establishment and maintenance of records of solicitations and subcontract award activity.
- Attending or arranging for attendance of company representatives at Business Opportunity Workshops, Minority Business Enterprise Seminars or Trade Fairs.
- Monitoring achievement of proposed goals.
- Preparing and submitting semi-annual and annual subcontract reports.
- Coordinating NWP's activities prior to and during conduct of Federal agency compliance reviews.
- Developing a small business policy for the WIPP Contract which is endorsed annually by NWP.
- Monitoring and, as necessary, modifying procurement procedures to ensure they encourage the maximum possible participation from all SB categories.

Other duties include:

- Maintaining Mentor-Protégé Program to ensure development of future technical capabilities and resources.
- Seeking SB, VOSB, SDVOSB, HUBZone, SDB, and WOSB business sources from all applicable agencies such as the SBA.
- Seeking out small business concerns by searching small business databases, trade association listings and other business/agency listings.
- Conducting or arranging training for procurement department personnel pursuant to the intent of the socioeconomic program.
- Maintaining records of SB, VOSB, SDVOSB, HUBZone, SDB, and WOSB programs and documenting performance against goals.
- Submitting electronically in the Electronic Subcontracting Reporting System (eSRS) the Individual Subcontracting Report (ISR) for subcontracting activity from October through March and an ISR for subcontracting activities from April through September of each fiscal year. Submitting electronically in the eSRS a Summary Subcontract Report (SSR) for the entire fiscal year in accordance with the prime contract and instruction provided by the Contracting Officer.
- Verifying that subcontracts contain the flow down clauses pertaining to SB concerns when required and maintaining the policies and procedures required by the prime contract
- Advising and training project management personnel on the purposes of this plan and fostering adequate support.
- Maintaining a working relationship with local minority trade associations and other disadvantage and women-owned business organizations.
- Requiring lower-tier subcontractors to submit subcontracting plans when required and assisting in the development of sub-tier small business subcontracting plans to meet overall program objectives.
- Mentoring existing small business concerns currently under subcontract and enhancing their ability to provide timely, cost-effective, and quality services.
- Maintaining a close working relationship with DOE to ensure that NWP project objectives and activities are consistent with the DOE program.

III. Equitable Opportunities and Outreach Efforts

NWP will ensure that SB, VOSB, SDVOSB, HUBZone, SDB, and WOSB concerns have an equitable opportunity to compete for subcontracts.

III.A Outreach Efforts

NWP will pursue the following outreach efforts to obtain sources:

- Attending DOE-sponsored Small Business Program Manager meetings and participate in the DOE Small Business Forum and Expo.
- Attending other small and minority business procurement conferences and trade fairs.
- Partnering with national, regional, and local trade associations, business development organizations, and conferences to target and identify small business.
- Researching sources from the Government's System for Award Management (SAM), including the Dynamic Small Business Search which includes SBA's certifications for SDB, SDVOSB, and HubZone, and GSA Advantage/GSA supply schedules.
- Utilizing the WIPP web page to encourage new sources.
- Using book references, catalogs, source lists, or other reference material to identify SB, VOSB, SDVOSB, HUBZone, SDB, and WOSB sources
- Providing resources to guide and train small businesses to enhance their technical execution capabilities and achieve self-sufficiency (e.g. Mentor Protégé Program).

III.B Internal Efforts

NWP will perform the following internal efforts to guide and encourage purchasing personnel:

- Presenting training programs on requirements of this plan.
- Establishing, maintaining, and using SB, VOSB, SDVOSB, HUBZone, SDB, and WOSB source lists, guides, and other data for soliciting subcontracts.
- Monitoring activities to evaluate compliance with the subcontracting plan.

IV. Subcontracting Plan Flowdown

NWP will include the provisions under FAR 52.219-8, "Utilization of Small Business Concerns," in all subcontracts that offer further subcontracting opportunities. All subcontractors, except small business concerns, that receive subcontracts in excess of FAR thresholds (\$700,000 or \$1,500,000 for construction) will adopt and comply with a plan similar to the plan required by FAR 52.219-9 "Small Business Subcontracting Plan."

V. Reports and Surveys

NWP agrees to cooperate in any studies and surveys as required by DOE or the SBA. NWP will submit reports (at least bi-annually) that show compliance with the subcontracting plan. NWP will also prepare and submit ISR and SSR (see Table 1) and will ensure that large business subcontractors submit the same forms in accordance with the instructions on the forms as provided in the agency regulations.

Table 1. ISR and SSR Reporting Frequency

Report	Reporting Period	Due Date
ISR	October 1 through March 31	April 30
	April 1 through September 30	October 30
SSR	October 1 through September 30	October 30

VI. Records and Procedures

NWP will maintain documentation to demonstrate compliance with the requirements and goals of the subcontracting plan, including:

- Source lists, guides, and other data identifying candidate vendors, SB, SDB, WOSB, HUBZone, and VOSB, and SDVOSB concerns
- On a subcontract-by-subcontract basis, records on each subcontract solicitation resulting in an award exceeding the simplified acquisition threshold of \$150,000 indicating whether SB, VOSB, SDVOSB, HUBZone, SDB, and WOSB concerns were solicited, and if not, why not; and if applicable, the reason that the award was not made to a small business concern
- Records to support other outreach efforts, e.g., contacts with minority and small business trade associations, attendance at small and minority business procurement conferences, trade fairs, and veteran service organizations.
- Records to support internal guidance and encouragement provided to buyers through (1) workshops, seminars, training programs and (2) monitoring subcontract award data.
- On a contract-by-contract basis, records to support subcontract award data including the name, address, and the business size of each subcontractor.

This subcontracting plan is submitted by:

Signed: **(b) (6)**

Typed Name: Ryan W. Williamson

Title: Small Business Program Manager

Date: August 29, 2019

Phone No.: 575-234-7123

Section J – Attachment G – Contract Deliverables List

* These deliverables are subject to change based on DOE/CBFO Guidance (data call, schedule or customer request)						
#	Deliverable Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Deliverable Due	Due Date
1	29CFR, Part 5.7(b)	Enforcement Report (Davis Bacon)	Information	iBenefits Portal w/c to Contracting Officer (CO)	Semi-Annual	3/30, 9/30
2	H.56	Workforce restructuring Data, actual and projected	Information	iBenefits Portal w/copy to CO	Annual	*3/15
3	I. 42	Affirmative Action Plan	Information	CO	Annual	*9/30
4	I.148	Diversity Plan Annual Update	Information	CO	Within 90 days after effective date of contract, Annual updates	5/1
5	H.5 (j)(1)	Actuarial Valuation Report and Forms (IRS 5500) for each DOE reimbursement plan	Information	CO	Annual	10/31
6	H.5	Contractor Compensation and Benefits (formerly known as Report of Contractor Expenditures for Employee Supplemental Compensation)	Information	CO	Annual NLT March 1	3/1 or date specified by DOE/HQ
7	H.5	Pension Management Plan Annual Update	Information	CO	45 days after end of year plan	3/14
8	I.46	Employment Report on Special Disabled Veterans and Veterans of the Vietnam Era, and other Eligible Veterans (revised from VETS Form 100A to VETS-4212 in 2015)	Information	CO	Annual	*9/30
9	H.5	Pension Plan Annual Audit	Information	CO	Annual	10/31
10	H.5	Employee Benefits Value Study	Approval	CO	Every two years, even number years	8/31
11	H.5	Employee Benefits Cost Study Comparison	Approval	CO	Annual	*10/31 – or when DOE-HQ populates the study for contractors
12	H.5	Compensation Increase Plan, when required	Determination of cost allowability	CO	Annual	At award and when there is a subsequent change to total cash compensation.
13	H.5 and DOE A/L 2014-07	List of Five Most-Highly Compensated Executives	Information	CO	Annual	At award and Annual by 1/15
14	H.5	Contractor Salary-Wage Increase Expenditure Report	Information	CO	Annual	12/31
15	I.162	Audit Plan	Information	CO	By June 30 of each year	6/30
16	I.61	Audit Report	Information	CO	By January 31 of each year	1/31
17	H.22(f)(1), C.3.1.7, H.22c	General Management Status Report (see subparagraphs i-iv)(Monthly Progress Report)	Information	Contracting Officer's Representative (COR) / Federal Cleanup Director, Senior Program Manager, or Program Manager	Annual	*3 rd week of each month, specific dates determined Annually
18	Deleted	RESERVED				
19	I.171	Balanced Scorecard Plan	Information	CO	Annual	*10/15
20	I.171	Balanced Scorecard Report	Information	CO	Annual	*11/25
21	H.11	Small, Small Disadvantaged and Woman-Owned Business Subcontracting Plan	Approval	CO	Annual	8/31 (prior to start of FY)
22	I.166	Plan for New Financial Management Systems	Approval	CO	Annual	*9/30
23	H.11	Socio-economic information to HQ (ESRS)	Information	CO	Semi-Annual	4/30 and 10/31
24	I.172 & DOE G 580.1-1a	Personal Property Furnished to Non-Federal Recipients Report to CBFO	Information	CO	Annual, Data Call	*10/31
25	I.172 & DOE G 580.1-1a	Exchanges/Sale Report for the WIPP Project to CBFO	Information	CO	Annual, Data Call	*10/31

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#	Deliverable Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Deliverable Due	Due Date
26	I.172 & DOE G 580.1-1a	Potential Liability Report to CBFO	Approval	CO	Semi-Annual	4/30, 10/31
27	DEAR 970.5245	Vehicle Usage Report to CBFO	Information	CO	Annual , Data Call	*11/30
28	DEAR 970.5245	GSA Vehicle Use – Report on Inventory of GSA vehicles and any use by subcontractors including type of subcontract	Information	CO	Annual	10/31
29	Deleted	RESERVED	-	-	-	-
30	DOE O 534.1B	Letter of Credit Report (Electronic submission to DOE-CBC via email)	Information	N/A	Monthly, 10 th work day of the month	11/15, 12/17, 1/18, 2/18, 3/18, 4/15, 5/15, 6/17, 8/15, 9/17, 10/15
31	DOE O 534.1B	Banking Analysis Report (Electronic submission to DOE-CBC via email)	Information	N/A	Quarterly, 15 th Calendar Day	10/15, 1/15, 4/15, 7/15
32	DOE O 534.1B	Banking Statistics Report (Electronic submission to DOE-CBC via email)	Information	N/A	Quarterly, 15 th Calendar Day	10/15, 1/15, 4/15, 7/15
33	Directive 19, Report of the Department of Commerce on International Transactions	International Transactions (Electronic submission to DOE-CBC via email)	Information	N/A	Quarterly, 15 th Calendar Day	10/15, 1/15, 4/15, 7/15
34	RESERVED		-	-	-	-
35	Financial Accounting Standards Board (FASB) ASC 715	NWP Pension Report (provided to CBFO & HQ)	Information	iBenefits portal w/ copy to CO	Annual, per DOE schedule	*5/15
36	Financial Accounting Standards Board (FASB) ASC 715	NWP Post Retirement Benefits other than Pension (provided to CBFO & HQ)	Information	iBenefits Portal w/copy to CO	Annual, per DOE schedule	*6/12
37	I.39	Cost Accounting Standards Disclosure Statement to CBFO	Approval	CO	Annual, as required	*9/30
38	I.161(k)	Statement of Costs Incurred & Claimed as of Sept. 30 to CBC	Approval	CO	Annual per DOE schedule	*11/20
39	OMB Improper Payments Information Act of 2002	Improper Payments and Recapture Audit Reporting	Information	CO	Annual per DOE schedule	*9/30
40	LWA, Section 8 (f)	Recertification Application to the EPA Administration, DC	Approval, CBFO forwards to DOE/EM for coordination and to obtain Secretary's signature.	COR / Office of Environmental Protection (OEP) (SME Patterson)	Every 5 years, 30-45 days in advance of scheduled annual inspection.	3/26/14, 3/26/19, 3/26/24, etc.
41	DOE O 458.1, 40 CFR 191.13, 40 CFR 191.15, and 40 CFR 191 Subpart C (see Appendix IGP of CRA-2014)	Maintain a Groundwater Protection Plan	Information	COR / OEP (SME Ward)	Review and maintain Annual /Biennial revision (every two years)	*9/30
42	DOE O 231.1B (5)(b)(3)	Site Environmental Report to DOE-HQ (Chief Health, Safety and Security Officer)	Approval	COR / OEP (SME Ward)	Annual	10/1
43	RCRA/HWFP L-4c(3) & N-4e	Laboratory Operating Procedures to the Hazardous Waste Permits Program Manager, NMED	Approval	COR / RCRA Program Manager (SME Navarrete)	Annual	1/31
44	40 CFR 1943.43 Letter Reyes to Ziemianski March 7, 2008	Progress within the next ten years on each of the three Passive Institutional Controls items below will be reflected in the Change Report (Table 5) per ABC Sheet 1.2.3.01.05	Approval, CBFO submits Change Report to EPA	COR / OEP (SME Patterson)	Annual	On hold, per EPA agreement.
45	40 CFR 1943.43 Letter Reyes to	Identification of suitable source material – 2014	Approval, CBFO submits Change Report to EPA	COR / OEP (SME Patterson)	Annual	On hold, per EPA agreement

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#	Deliverable Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Deliverable Due	Due Date
	Ziemianski March 7, 2008					
46	40 CFR 1943.43 Letter Reyes to Ziemianski March 7, 2008	Submit plans for test marker system to the EPA - 2016	Approval, CBFO submits Change Report to EPA	COR / OEP (SME Patterson)	Annual	On hold, per EPA agreement
47	40 CFR 1943.43 Letter Reyes to Ziemianski March 7, 2008	Construction of berm and begin testing of berm & markers - 2018	Approval, CBFO submits Change Report to EPA	COR / OEP (SME Patterson)	Annual	On hold, per EPA agreement
48	40 CFR 194.33, 40 CFR § 191.14(b)	Delaware Basin Drilling Surveillance Program Annual Report	Report submitted to CBFO	COR / OEP (SME Ward)	Annual	9/30
49	40 CFR 194.21	Provide EPA with most current electronic versions of program plans & procedures (related to waste emplacement & monitoring) 2 wks before inspection each yr.	Copies of these procedures are posted to CREL for EPA's use prior to their inspection.	COR / OEP (SME Patterson)	Annual	*9/30 – Historically EPA's inspections have been conducted in the last half of the fiscal year, in the timeframe of April – July.
50	40 CFR 194.4(b)(4)	Change Report to the Office of Radiation & Indoor Air, EPA	CBFO submits Change Report to EPA	COR / OEP (SME Patterson)	Annual	11/18
51	RCRA HWFP I.3.2	RCRA Permit Renewal, to Hazardous Waste Permits Program Mgr, Hazardous Waste Bureau, NMED, SF	Approval, CBFO submits to the NMED	COR / RCRA Program Manager (SME Navarrete)	Every 10 years	7/1/20
52	RCRA HWFP 4.6.4.2	Mine Ventilation Report, to Hazardous Waste Permits Program Manager, NMED	Approval, CBFO submits to the NMED	COR / RCRA Program Manager (SME Navarrete)	Annual	10/27
53	RCRA HWFP 4.6.1.2	Geotechnical Analysis Report, to Hazardous Waste Program Manager, Hazardous Waste Bureau of the NMED	Approval	COR / RCRA Program Manager (SME Ward)	Annual	10/27
54	TSCA, 40 CFR 761.180 Annual	PCB Report (including document log), to EPA Region 6	Approval, CBFO transmits to EPA	COR / OEP (SME Patterson)	Annual	7/15
55	DOE P 451.1	Mitigation Action Report, to DOE-HQ (EM-1)	Approval, CBFO transmits to DOE-HQ	COR / NEPA Compliance Officer (SME Basabilvazo)	Annual	7/31
56	DOE P 451.1	NEPA Planning Summary Report, to DOE-HQ (EM-1)	Transmit to DOE-HQ	COR / NEPA Compliance Officer (SME Basabilvazo)	Annual	1/31
57	LWA Section 9(a)(2)	Biennial Site Environmental Compliance, EPA HQ Office of Air & Radiation in DC, EPA Region VI, Secretary, NMED, NM Energy Minerals & Natural Resources Dept., BLM in DC, US Fish & Wildlife in DC, US NRC in MD, DOE HQ NEPA Policy & Compliance in DC	Approval, CBFO transmits to EPA	COR / RCRA Program Manager (Ward)	Even Numbered years	10/31/14, 10/31/16, etc.
58	CERCLA 40 CFR 370.25 I.51	SARA Title III, Tier II, National Response Center, NMED, EPA, Region VI, Local Emergency Planning Committee in Carlsbad, NM Emergency Response Commission in SF	Approval, CBFO transmits to EPA and NMED	COR / OEP (SME Brown)	Annual	3/31
59	NESHAP, US EPA Region VI, Dallas	NESHAP, US EPA Region VI, Dallas (<i>National Emissions Standards of Hazardous Air Pollutants</i>)	Approval, CBFO transmits to EPA	COR / OEP (SME Ward)	Annual	6/30
60	Waste Minimization Statement	Waste Minimization Statement	Approval, CBFO transmits to NMED	COR / RCRA Program Manager (SME Navarrete)	Annual	12/31
61	NM Water Quality Act, 20.6.2.3107 NMAC (DP-831)	Water Discharge Report – Information Changes, NMED, Groundwater Pollution Prevention Section (Semi-Annual DP-831 Report) SF	Approval, CBFO transmits to NMED	COR / OEP (SME Ward)	Semi-Annual	1/15, 7/15

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#	Deliverable Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Deliverable Due	Due Date
62	RCRA 40 CFR 264.75 (20.4.1.500 NMAC)	RCRA Biennial Report, NMED Hazardous Waste Bureau, Data Mgmt Program, NMED, SF	Approval, CBFO transmits to NMED	COR / RCRA Program Manager (SME Navarrete)	Biennially, even numbered years	3/1
63	DOE O 458.1, 40 CFR 194.42	Environmental Monitoring Plan	Information	COR / OEP (SME Brown)	Review and maintain Annual/Biennial revision (every two years)	*9/30
64	NMAC 20.4.3	Hazardous Waste Generation Fee	Pay fee to NMED	COR / RCRA Program Manager (SME Navarrete)	Annual , based on receipt of invoice	*8/31
65	1.59, CERCLA 40 CFR 372.30	Toxic Chemical Release Report/Hazardous Chemicals Inventory to EPA and to the NM Dept. of Homeland Security (from R-TRI Report)	Approval, CBFO transmittal to EPA and NMED	COR / OEP (SME Brown)	Annual NLT July 1	7/1
66	RCRA HWFP Part 4 Section 4.6.6.2	Volatile Organic Compound, Hydrogen, and Methane Data Summary Report	Approval	COR / RCRA Program Manager (SME Navarrete)	Semi-Annual	4/30, 10/30
67	DOE O 422.1	Conduct of Operations Matrix	Approval	COR / CBFO Manager	Every 3 Years or when directed by DOE	6/30
68	Deleted	RESERVED	-	-	-	-
69	CBFO Request	Quarterly maintenance reports in FIMS	Information	COR / Program Manager ()	Quarterly	*2/14, 5/15, 8/15, 11/14
70	CBFO Request	Integrated Facility and Infrastructure Quarterly Report	Information	COR / Program Manager)	Quarterly	*11/30, 2/28, 5/31, 8/31
71	Deleted	Reserved				
72	40 CFR 191.14(b)	Subsidence Report to EPA Compliance Program Manager. Mine Engineering Prepares the report, but it is submitted by others.	Approval	COR / OEP (SME Patterson)	Annual	1/31
73	DOE O 420.1C Chapter II (3)(b)(2)	Fire Protection Program Self-Assessment	Approval	COR / CBFO Manager (SME Ford)	Every 3 Years	9/30/22, etc...
74	1.144(e) 10 CFR 830.207(a)	Documented Safety Analysis and Technical Safety Requirements	Approval	COR / Safety Basis Approval Authority	Per date specified by CO	*5/31
75	10 CFR 830.203 (f)	Unreviewed Safety Question Determination (USQD) Summary Report	Information	COR / Safety Basis Approval Authority	Annual	12/31
76	GPO	Commercial Printing Report	Information	CO / Subject Matter Expert (Gee)	Semi-Annual	3/15, 9/15
77	GPO	The Printing and Publishing of the Three-year site plan	Information	CO / Subject Matter Expert (Gee)	Annual	10/15
78	C.4.1.2.4, DOE O 436.1	Site Sustainability Plan	Information	COR / Program Manager	Annual Update	*11/30
79	DOE Orders: 400.1, 458.1, 40 CFR 191; 10 CFR 835; ANSI N 13.30; Performance Criteria for Bioassay, ANSI N 42.23: Measurement and Associated Instrumentation Quality Assurance for Radioassay Labs; NQA-1: Quality Assurance	Performance evaluations on validity of analytical Laboratories will be demonstrated by participation in inter-laboratory cross check programs administered by DOE and/or NIST. The results of performance evaluations will be submitted Annual to CBFO as completed.	Information	COR / Office of Manager Chief Scientist (SME Basabilvazo)	Annual	*9/30
80	10 CFR 835.801	Individual Radiation Reports	Information	COR / Safety and Health Division (SME Gamlin)	Annual	3/31

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#	Deliverable Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Deliverable Due	Due Date
81	10 CFR 851.11(c)(1)	Annual Worker Safety and Health Plan, or letter stating that no changes are necessary. (must provide update whenever a significant change is made)	Approval	COR / OWIPP (SME Garza)	Annual Update, at least 30 days prior to the previous year's approval date	*02/23/21
82	C – Part 3.3.1	ISMS/QA Review Plan – contractor shall be prepared to undergo ISMS validation and verification within 1 year of contract award.	Notify DOE of contractor's readiness	COR / Safety and Health Division (SME Gamlin)	One-time	9/30/2013 – 5/26/2015 (per DOE Letter 15-2034)
83	DOE G 450.4-1C, DOE O 226.1B EM Guidance	Annual ISM Review and Declaration	Information	COR / Safety and Health Division (SME Gamlin)	Annual, at least 60 days prior to CBFO's submittal deadline to DOE EM	*1/31
84	RESERVED		-	-	-	-
85	H.27	ISM Description	Approval	COR / Safety and Health Division (SME Gamlin)	Annual	9/1
86	DOE/EH-0433	VPP Review and Report to CBFO	Information & Transmittal to DOE-HQ	COR / Safety and Health Division (SME Gamlin)	Annual	*1/15 – to CBFO Transmittal to DOE-HQ is due 2/15
87	DOE O 473.3 Protection Program Operations	Weapons Accountability Report to CBFO	Information	COR / Security Officer (SME Sahd)	Monthly	10/15, 11/15, 12/15, 1/15, 2/15, 3/15, 4/15, 5/15, 6/15, 7/15, 8/15, 9/15
88	DOE O 470.4B Safeguards & Security Program	Incidents of Security Concern Report to CBFO	Information	COR / Security Officer (SME Sahd)	Monthly	10/15, 11/15, 12/15, 1/15, 2/15, 3/15, 4/15, 5/15, 6/15, 7/15, 8/15, 9/15
89	Security Specific Scope of Work Details and DOE O 473.3	WIPP Canine Narcotics Detection Activities and Report to CBFO	Information	COR / Security Officer (SME Sahd)	Semi-Annual, Dates are not specified	*1/31, 10/31
90	DOE O 470.4B Safeguards & Security Program	Attendance of Annual Security Briefing Report to CBFO	Information	COR / Security Officer (SME Sahd)	Annual	9/30
91	DOE O 470.4B Safeguards & Security Program	Site Security Plan to CBFO	Approval & Transmittal to DOE-SR for CSA Approval	COR / Security Officer (SME Sahd)	Annual	9/30
92	RESERVED		-	-	-	-
93	DOE O 151.1D	Emergency Planning Hazards Survey to CBFO Updated for TP-III and pool fires	Information	COR / CBFO Manager	Every 3 Years	3/31/20, 3/31/17, 3/31/20
94	DOE O 151.1D	Emergency Readiness Assurance Plan to CBFO, DOE-EM, and DOE-HS	Approval	COR / CBFO Manager	Annual	9/30
95	DOE O 151.1C	Emergency Management Program Plan to CBFO	Information	COR / CBFO Manager	Annual	9/30
96	DOE O 151.1D	Annual Exercise Package (Plan)	Approval	COR / CBFO Manager	Annual	9/30
97	DOE O 243.1B; 36 CFR 1236	Vital Records Program Review to CBFO	Information	COR / Government Information Specialist (SME Gee)	Annual	9/30
98	C.4.2.3.4	8-Week Rolling Schedule	Approval	COR / CBFO Transportation Logistics Manager (SME Walker)	Weekly, By Thursday COB	Every Thursday, Via email and changes, as needed

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#	Deliverable Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Deliverable Due	Due Date
99	LWA Section 16, subsection C (1)(A)&(B)	External Emergency Management staff assignments	Information	COR / CBFO Institutional Affairs Manager (SME Mason)	Weekly	Every Tuesday
100	10 CFR Part 71	Deferred NRC Type B packaging maintenance list	Information	COR / CBFO Transportation Certification Specialist (SME Standiford)	Monthly or as changed	10/31, 11/30, 12/31, 1/31, 2/28, 3/31, 4/30, 5/31, 6/30, 7/31, 8/30, 9/31
101	I.137(f)	Contractor Self-Assessment	Information	CO/ COR	Annual	10/30
102	G.4	Monthly Cost Report	Information	CO	Monthly	11/15, 12/17, 1/16, 2/18, 3/18, 4/15, 5/15, 6/17, 8/15, 9/17, 10/15
103	H.5	Pension Plan Changes	Approval	CO	60 days prior to change	*9/30
104	I.91(b)(1)	Property Management Plans, Systems, Procedures	Approval	CO	30 days after assuming contract	10/31/2012
105	I.91(f)(1(iv)	Report of Physical Inventory	Approval	CO	Annual	NLT 9/30
106	I.118	Lessons Learned – Mentor Protégé	Information	CO	End of Contract	*9/30/20
107	I.118	Mentor Protégé Reports	Information	CO	Every 6 months	Every six months after award to mentor protégé
108	H.42	Labor Relations “Report of Settlement”	Information	CO	30-60 days after formal ratification of bargaining unit contract	*9/30
109	Deleted	RESERVED	-	-	-	-
110	H.47	Annual Community Commitment Plan	Information	CO	Annual	10/31
111	H.47	Progress reports on Community Commitment Plan progress	Information	CO	Semi-Annual	4/30, 10/31
112	HQ Request	Populate FIMS Annual -required maintenance costs	Information	COR / Program Manager	Annual	12/13
113	HQ Request	Populate the FIMS fields for current FY for deferred maintenance, inspection date, deficiency systems, status, disposition year, excess indicator, excess year	Information	COR / Program Manager	Annual	9/30
114	HQ Request	Populate the FIMS fields for current FY for Annual maintenance costs, operations costs, repair needs	Information	COR / Program Manager	Annual	11/7
115	H.5	ibenefits Quarterly Data Call	Information	CO	Quarterly	*10/31, 1/31, 4/30, 7/31
116	H.59	M&O 1 st tier Subcontract Reporting through the MOSRC System	Information	CO	Monthly and Annual beginning 11/1/16	11/1/2016 and monthly thereafter
117	I.16 – FAR 52.204-10	FSRS 1 st tier subcontract reporting at http://www.fsrs.gov	Information	CO	Award of subcontract exceeding \$25K	End of month following month of subcontract award exceeding

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						\$25K and any modifications
118	I.16 – FAR 52.204-10	Reporting of Executive Compensation at http://www.sam.gov	Information	CO	Annual	12/15
119	H.63 and EM Cleanup Policy	Performance Baseline of Cost, Scope, and Schedule of Site Work	Approval	CO / COR / Federal Cleanup Director	Beginning with Mod 187 and Annual thereafter	9/30
120	H.64 and DOE O 430.1C	Five-Year EM TRU Waste Master System Plan and Site Plan-updated semi-Annual to identify changes in TRU waste inventory and changes to financial investments for sustainment of real property assets to support DOE strategic plans, program guidance, and Departmental performance targets.	Information	COR / Office of Project Management Director	Beginning with Mod 187 and Semi-Annual updates thereafter	3/31, 9/30
121	H.22	Earned Value Management System Reports	Information	COR / Federal Project Director	Periodic per I.84	Periodic per I.84
122	C.3.1.5	List of GFE maintenance affected by shipping schedule, budget, or funding constraints for FY20 and beyond	Approval	CO	Annual	9/30