

<b>AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT</b>		1. CONTRACT ID CODE		PAGE OF PAGES	
				1 48	
2. AMENDMENT/MODIFICATION NO.		3. EFFECTIVE DATE		4. REQUISITION/PURCHASE REQ. NO.	
P00084		See Block 16C			
6. ISSUED BY		CODE		5. PROJECT NO. (If applicable)	
		893032		03003	
EM-Carlsbad EMCBC U.S. Department of Energy Carlsbad Project Office P.O. Box 3090 Carlsbad NM 88221		7. ADMINISTERED BY (If other than Item 6) EMCBC - Carlsbad U.S. Department of Energy Carlsbad Project Office P.O. Box 3090 Carlsbad NM 88221			
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code)		9A. AMENDMENT OF SOLICITATION NO.			
SALADO ISOLATION MINING CONTRACTORS, LLC Attn: Marty Gonzales 12011 SUNSET HILLS RD STE 110 Reston VA 201905919		(x)			
		9B. DATED (SEE ITEM 11)			
		x			
		10A. MODIFICATION OF CONTRACT/ORDER NO. 89303322DEM000077			
		10B. DATED (SEE ITEM 13)			
CODE MHKNRXGKTS6		FACILITY CODE		07/11/2022	

11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SOLICITATIONS

☐
The above numbered solicitation is amended as set forth in Item 14. The hour and date specified for receipt of Offers

☐ is extended.
☐ is not extended.

Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation or as amended , by one of the following methods: (a) By completing Items 8 and 15, and returning \_\_\_\_\_ copies of the amendment; (b) By acknowledging receipt of this amendment on each copy of the offer submitted ; or (c) By separate letter or electronic communication which includes a reference to the solicitation and amendment numbers. FAILURE OF YOUR ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted , such change may be made by letter or electronic communication, provided each letter or electronic communication makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.

12. ACCOUNTING AND APPROPRIATION DATA (If required)

See Schedule

13. THIS ITEM ONLY APPLIES TO MODIFICATION OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.

CHECK ONE	A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 10A.
	B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation data, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(b).
X	C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: Contract Section I.227 DEAR 970.5243-1 Changes (Jul 2009) and FAR 43.103(a) Bilateral Modification
	D. OTHER (Specify type of modification and authority)

E. IMPORTANT:

Contractor
☐ is not
☒ is required to sign this document and return \_\_\_\_\_ copies to the issuing office.

14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.)

UEI: MHKNRXGKTS6  
see page 2.  
Payment:  
Period of Performance: 11/08/2022 to 11/07/2026

Except as provided herein, all terms and conditions of the document referenced in Item 9 A or 10A, as heretofore changed, remains unchanged and in full force and effect.

15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)	
Mike Rocha, Vice President and Baseline Integration Manager		Daniel D. Burke	
15B. CONTRACTOR/OFFEROR	15C. DATE SIGNED	16B. UNITED STATES OF AMERICA	16C. DATE SIGNED
_____ (Signature of person authorized to sign)	11/24/2025	_____ (Signature of Contracting Officer)	11/24/2025

Previous edition unusable

STANDARD FORM 30 (REV. 11/2016)  
Prescribed by GSA FAR (48 CFR) 53.243

In accordance with Contract Section I.227 DEAR 970.5243-1 Changes (Jul 2009) and FAR 43.103(a) Bilateral Modification, the purpose of this modification is to make changes to the contract as follows:

1. Modification 0080, page 2, item 1, is revised from:

Section B, B.3 Transition Cost, Anticipated Funding, and Total Available Performance Fee, Table B.2 is replaced in its entirety with Modification Attachment 1 – FY26 PEMP.

To:

In accordance with Contract Clause H.23 DOE-H-7014 Standards of Contractor Performance Evaluation (SEP 2017), Contract Clause I.227, DEAR 970.5243-1 Changes (Jul 2009), and FAR 43.103(a)(3) Mutual Agreement of the Parties, the purpose of this modification is to incorporate the Fiscal Year 2026 (FY26) Performance Evaluation and Measurement Plan (PEMP) at contract Section J, J-3.

2. This modification removes the CUI labeling from the FY26 PEMP and adds the issuance date of September 30, 2025. As such, the FY26 PEMP is hereby deleted in its entirety and replaced with the attached Section J, J-3 FY26 PEMP Rev 1 (admin chgs).
3. Section J, Attachment J-1 Requirements Sources and Implementing Documents, List B Applicable DOE Directives, Site Specific Requirements, is revised to include the following:

NFPA 70E (2024)	Standard for Electrical Safety in the Workplace, National Fire Protection Association
NFPA 70 (2023)	National Electrical Code, National Fire Protection Association

As such, Section J, Attachment J-1 is updated in its entirety and attached.

4. Section J, Attachment J-6 Contract Deliverables Lists, is revised as follows:

Deliverables 16, 17, 37 and 38 are revised from:

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
16	C.4	C.4	Quarterly maintenance reports in FIMS	Information	SOID	Quarterly	End of Quarter
17	C.4	C.4	Integrated Facility and Infrastructure Quarterly Report	Information	SOID	Quarterly	End of Quarter
37	Section H, DOE-H-2045 Contractor Community Commitment	C.7.1.8	Annual Community Commitment Plan	Information	OBO	Annually	10/31
38	Section H, DOE-H-2045 Contractor Community Commitment	C.7.1.8	Progress reports on Community Commitment Plan progress	Information	OBO	Semi-Annually	4/30, 10/31

To:

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
16	C.5.2, C.7.1.11.1 and H.87	C.5.2, C.7.1.11.1 and H.87	Quarterly maintenance reports in FIMS	Information	SOID	Quarterly	End of Quarter
17	C.5.2, C.7.1.11.1 and H.87	C.5.2, C.7.1.11.1 and H.87	Integrated Facility and Infrastructure Quarterly Report	Information	SOID	Quarterly	End of Quarter
37	Section H, DOE-H-2045 Contractor Community Commitment	C.7.1.8	Annual Community Commitment Plan	Information	OBO	Annually	<del>10/31</del> 1/15
38	Section H, DOE-H-2045 Contractor Community Commitment	C.7.1.8	Progress reports on Community Commitment Plan progress	Information	OBO	Semi-Annually	<del>4/30, 10/31</del> 7/15, 1/15

Deliverable 45, Facility Information Management System (FIMS) Reconciliation with STARS, is removed and replaced with "RESERVED."

Deliverable 46, FIMS Annual Reports, is removed and replaced with "RESERVED."

As such, Section J, Attachment J-6 is replaced in its entirety and attached.

## End of Changes

All other terms and conditions remain unchanged, in full force and effect.

**PERFORMANCE EVALUATION AND MEASUREMENT PLAN  
WASTE ISOLATION PILOT PLANT (WIPP) MANAGEMENT AND OPERATING (M&O)  
CONTRACT 89303322DEM000077  
October 1, 2025, through September 30, 2026  
Revision 0, dated September 30, 2025**

**I. INTRODUCTION**

This Performance Evaluation and Measurement Plan (PEMP) contains a standard process for development, administration, and coordination of all phases of the fee determination process for the WIPP M&O contract consistent with Section B.3 of the contract. This PEMP will provide the expectations for the evaluation period. Criteria are provided on how fee will be earned for the evaluation period. The WIPP M&O Contractor (hereafter referred to as the Contractor) must manage the funds allotted so that costs incurred for the work executed and the fee earned is within their authorized spending levels per year.

**II. ORGANIZATIONAL STRUCTURE AND DUTIES**

The following organizational structure is established for administering the fee provisions of the contract.

**A. Roles and Responsibilities**

1. Fee Determination Official (FDO)/Carlsbad Field Office (CBFO) Manager
  - a. The Head of Contracting Activity (HCA) has appointed the CBFO Manager as the FDO. The FDO determines the final performance fee amount earned for the evaluation period based upon all the information furnished.
  - b. The FDO will coordinate with the Contracting Officer's Representative(s) (CORs) and the Contracting Officer (CO) in the development of the PEMP; performance monitoring; performance validation; approval of minor changes to the PEMP; and performance reporting.
2. WIPP M&O Contract CO
  - a. The CO is an advisor in the development and establishment of the PEMP.
  - b. The CO will ensure appropriate coordination of performance expectations and the evaluation criteria with Headquarters (HQ) program and policy organizations. The CO will coordinate with the Environmental Management Consolidated Business Center (EMCBC) to submit the PEMP and/or the evaluation criteria for necessary HCA approval and headquarters reviews.
  - c. The CO, if required, in conjunction with the COR and Capital Asset Projects (CAP) Federal Project Director (FPD), will coordinate major changes to performance expectations and the evaluation criteria with the

HCA through the EMCBC.

- d. The CO will forward the approved PEMP, including the evaluation criteria and available fee amounts to the Contractor through a contract modification.
  - e. The CO will prepare a letter for the FDO's signature notifying the Contractor of the amount of performance fee earned by the Contractor for the evaluation period. This notification will identify specific areas of strengths and areas for improvement in the Contractor's performance.
  - f. The CO will unilaterally modify the contract to reflect the FDO's final determination of the amount of performance fee earned by the Contractor for the evaluation period. The modification, which will reflect earned and unearned fee for the evaluation period, will be issued to the Contractor within 14 calendar days after the CO receives the FDO's decision, and approval by the HCA.
3. WIPP M&O Contract COR(s) and Line-Item Capital Asset Projects (CAP) Federal Project Director (FPD)
- a. The COR(s) monitor, evaluate, assess and validate the Contractor's performance against subjective evaluation criteria.
  - b. The COR(s) perform periodic reviews of the Contractor to evaluate progress towards completion of requirements for Performance Based Incentives (PBIs).
  - c. The COR(s) support the CO and FDO by ensuring that all technical components of the work are closely monitored and that they have the information required to effectively accomplish their duties as defined by this plan.
  - d. The COR(s) focuses on all non-CAP related work scope in its entirety. The Line-Item CAP FPD focuses on Line-Item CAP related work scope. The COR functions as the technical interface with the Contractor regarding performance on non-Line-Item CAP related work scope. The Line-Item CAP FPD supports the COR(s) in interfacing with the Contractor regarding performance of Line-Item CAP related work scope, as needed.
  - e. The COR is responsible for preparing the annual performance evaluation report and associated scorecard based on the recommendations of the Award Fee Evaluation Board.
  - f. The COR(s) and FDO review and comment on the Technical Monitor's PBI evaluations.
4. Technical Monitors (TM)
- a. Monitor, evaluate, assess and validate the Contractor's performance

against the criteria in the PEMP for their respective sections.

- b. The Technical Monitors will provide input to the COR to support his/her overall evaluation of the Contractor's performance and PBIs for which they are responsible.
- c. TMs may include, but are not limited to, the following:

<b>Technical Monitors</b>
NTP Certification Division Director
NTP Operations Division Director
Site Operations and Infrastructure Division Director
Safety Programs Division Director
Safety Systems Oversight Division Director
Quality Assurance Division Director
Facility Oversight Division Director
Environmental Regulatory Compliance Division Director
Budgets and Contracts Division Director
Information Technology Division Director

### **III. PEMP DEVELOPMENT PROCESS**

While PEMP incentives may be unilaterally developed by the U.S. Department of Energy (DOE), a teaming approach between the DOE and the Contractor provides significant benefits. When incentives are developed jointly, performance expectations are better understood by the parties and tend to focus more on substantive outcomes. A teaming approach enhances communication and partnering between and among the parties, which results in greater trust, openness, alignment, understanding of expectations, and cooperation for achieving the DOE's goals and objectives. However, DOE reserves the right to issue the PEMP unilaterally consistent with the contract.

Changes to the allocation of fee during the performance period should not be made to benefit or penalize the Contractor and the fee amounts should not be modified unless there are budget modifications (in accordance with Section B.3, *Transition Cost, Anticipated Funding, and Total Available Performance Fee*, of the contract). This includes when actions fall out of the control of the Contractor and DOE cannot provide sufficient alternatives by allocating the fee to another PBI. At the discretion of DOE, if a PBI is cancelled or modified, any fee associated with that PBI may be allocated to another PBI(s). However, unearned fee shall not be rolled over. This does not obligate DOE to compensate the Contractor for partially completed PBIs but will encourage the FDO to consider events outside the control of the Contractor when making fee determinations.

Approval by the CBFO Manager and the DOE Office of Management (through the Head of Contracting Activity), with concurrence from the COR and CO are required for any changes to the PEMP, other than administrative changes.

The amount of fee earned by the Contractor is within the sole discretion of the FDO.

### **IV. EVALUATION CRITERIA**

The performance fee amount will consist of 1) a subjective fee component and 2) an

objective fee component. All available fee is at risk. Performance evaluation will be conducted in accordance with H.23, *Standards of Contractor Performance Evaluation*, of the contract.

A. Subjective Criteria: Award Fee Criteria

Subjective criteria have been established that include Quality, Schedule, Cost Control, Management, and Regulatory Compliance. DOE may consider other related performance information and data when evaluating the Contractor's performance for the subjective portion of the fee. Safety is inherent to performance of work at all DOE facilities and adherence to safe and compliant execution of work scope is a key component under the evaluation of all the subjective criteria.

Areas for consideration within an evaluation criterion are not sub-criteria and will not be individually rated but considered in the overall evaluation for that particular evaluation criterion.

The total fee available for the Subjective Criteria is 40% of the Total Available Fee. The maximum fee available for each of the following five subjective criteria is specified below next to each criterion as a percentage of the total fee available for the award fee (subjective) criteria.

Attachment 1, Adjectival Ratings, provides the adjectival ratings, and their definitions used in the evaluation of the award fee (subjective) criteria. Attachment 2, Subjective Criteria, provides example factors that will be taken into consideration for evaluation.

1. Quality (20%)
2. Schedule (20%)
3. Cost Control (25%)
4. Management (20%)
5. Regulatory Compliance (15%)

These five (5) award fee (subjective) criteria are aligned with the evaluation categories in the Contractor Performance Assessment and Reporting System (CPARS). However, Small Business Subcontracting is established as a separate evaluation criterion in CPARS. Subcontracting evaluation criteria are captured within the Management award fee criteria in this PEMP.

B. Objective Criteria: Performance Based Incentives (PBIs):

PBIs are an objectively measurable evaluation of Contractor performance. In most cases, PBIs will be evaluated based on quantifiable measurements in the form of a metric (e.g., a unit processing rate) or a milestone (e.g., completion of a task on or before a specific date).

PBIs have specified fee allocated and are payable upon full completion of identified levels of work accomplished. All PBIs, whether completed or not, will be measured and evaluated at the end of each fiscal year (in the same timeframe as the subjective criteria). Payment for fully completed PBI sub-sections (e.g., 3.1.A, but not 3.1.A.I) may be requested. While the Contractor may request early evaluation of PBIs upon full completion prior to the end of the fiscal year, early evaluations are not final until the end

of year evaluation is complete. All fee remains provisional per Section B.5 of the contract until the end of the year evaluation is complete and the FDO has made a final decision. The total fee available for the PBIs is targeted at 60% of the Total Available Fee.

C. Fee Pool Distribution:

	<b>Total Fee Available (Section B)</b>	<b>Award Fee (40%) Allocation</b>	<b>PBI (60%) Allocation</b>
Base Work (Operations and Maintenance)	\$12,656,648	\$5,062,659	\$7,593,989
Utility Shaft (US) CAP	\$170,000	\$68,000	\$102,000
Hoisting CAP	\$170,000	\$68,000	\$102,000
<b>Total</b>	<b>\$12,996,648</b>	<b>\$5,198,659</b>	<b>\$7,797,989</b>

PBI	PBI Category	PBI (60%) Allocation (\$)	PBI Roll-up	PBI Title	Incentive Fee (\$)
1	Operations and Maintenance	\$5,563,989	1.1	TRU Waste Disposition	\$4,613,989
			1.2	CH Program Certification	\$100,000
			1.3	SCA Procurement	\$850,000
2	Capital Asset Projects	\$204,000	2.1	Utility Shaft Closeout	\$102,000
			2.2	Hoisting Capability	\$102,000
3	Infrastructure	\$2,030,000	3.1	Information Technology	\$190,000
			3.2	Upgrade Shipping Equipment	\$100,000
			3.3	Increase Overpack Efficiency	\$100,000
			3.4	Site Data Center CMR CMS HVAC System	\$200,000
			3.5	Liner Replacement	\$1,050,000
			3.6	Surface and Underground Safety and Cost Effectiveness	\$390,000

## V. PERFORMANCE EVALUATION

### Monitoring and Evaluation of Performance

DOE will monitor Contractor performance against the established subjective evaluation criteria throughout the evaluation period. Attachment 1 provides the adjectival ratings

used by DOE. Attachment 2 contains the five subjective evaluation categories and the types of performance related information that the TMs are to consider as part of their evaluation.

To encourage continuance of positive performance and to identify performance issues early so they can be remedied, performance feedback to the Contractor will be provided quarterly throughout the year.

Within seven calendar days after the end of each quarter, the Contractor may submit a self-assessment for consideration by CBFO except for the Year End self-assessment which is due in accordance with deliverable #138 of Section J, Attachment J-6, *Contract Deliverables List*, which is due by October 10 of each year. All self-assessments that are submitted for consideration shall not exceed two pages per subjective criteria (10 pages total). Any self-assessment received after the due date may not be considered in CBFO's evaluation. The Contractor is, however, required to comply with the self-assessment requirements of Section H.23, *Standards of Contractor Performance Evaluation*, of the contract.

In addition to providing evidence of completion or partial completion of the PBIs, the Contractor may submit a summary of its evaluation against each of the PBIs for which the Contractor requests payment. This summary shall not exceed five pages in total per PBI, and shall include both fully and partially completed PBIs, including the estimated percentage completed (along with rationale) and the reason the PBIs were not completed as defined in the PEMP.

## **VI. FEE DETERMINATION**

### **A. Notification of Completing PBI Milestones**

The Contractor shall notify the CO and the COR after completion of a PBI in writing and shall make available sufficient information for DOE to confirm the successful completion of the PBI. Notification of completions (including demonstration of completion – e.g., documentation or physical verification, photos, etc.) by e-mail or delivery of physical documents may be submitted at any time after completion. All notifications must be submitted no later than October 15<sup>th</sup> of each year to be considered in that year's fee determination. Requests for fee award based on partial completion of PBIs prior to the end of the evaluation period (each FY) will not be considered, unless specifically allowed in the PBI description. DOE's fee determination regarding completed PBIs throughout the evaluation period remains provisional until the final fee determination is made by the FDO at the end of the evaluation period. The fee determination for subjective (award fee) and objective (PBI) criteria becomes final at the end of the rating period, unless noted otherwise.

### **B. Circumstances Outside of the Contractor's Control**

For any of the PBIs described in Attachment 3, the Contractor may request payment of fee for missed metric/milestone(s) due to actions by DOE impacting Contractor performance or due to circumstances that are not reasonably the responsibility of the Contractor for consideration by the FDO. Failure to properly plan for, notify CBFO in a timely manner of issues, and manage project risks is not a circumstance outside of the Contractor's control. The Contractor should ensure proper posture

was taken to prevent delays. As an alternative to payment of fee within an annual performance period, the FDO may extend the completion dates and defer decision until the PBI is complete (with concurrence from the CO in alignment with the contract). DOE is not obligated to either pay fee or extend the performance period upon request by the Contractor, but may, at the FDO's sole discretion, do so in the interests of fairness.

C. CBFO Award Fee Evaluation Board

The CBFO Evaluation Board will consist of the following voting members:

CBFO Deputy Manager, or Manager's designee – Chairperson  
Office of Environment, Safety, Health, & Quality Assurance (QA) Assistant Manager  
Office of NTP Waste & Certification & Disposal Assistant Manager  
Office of Business Operations Director  
Line-Item Capital Asset Projects Federal Project Director(s)

The following individuals will serve in an advisory capacity:

WIPP M&O Contracting Officer  
WIPP Legal Counsel  
WIPP M&O COR(s)  
WIPP Chief of Staff

The COR(s) will be responsible for the following:

1. Write the end of Period of Performance (POP) evaluations in the Performance Evaluation Report (PER) with Board/Manager scoring
2. Gather Award fee narrative summary sheets of quarterly performance evaluations in coordination with TMs and CAP FPD for each subjective category (No scores/rating) for final PER
3. Gather completed summary sheets of Performance Based Incentives (PBIs) evaluations from the TMs
4. Provide concurrence/non-concurrence of PBI evaluations by the TMs, and forward to the FDO.
5. Receive and review all Contractor provided self-assessments.
6. Providing a short presentation to the board, if requested.

D. Minimal Performance Expectation

If the Contractor receives a rating of "Unsatisfactory" for any of the five subjective fee criteria, then the total maximum fee the Contractor can earn is 50% of the available award fee (subjective), as determined by the FDO.

In accordance with FAR 16.401, award fee shall not be earned if the Contractor's overall cost, schedule, and technical performance, in the aggregate, is below satisfactory.

In addition, using overtime for the primary purpose of achieving PBIs is not allowed unless justified by the Contractor and approved by the Contracting Officer. The justification must demonstrate how the overtime payment for PBIs benefits the

government when there is already fee established for the work based on the importance of the PBIs. The benefits can be expressed in terms of reduced fee for allowing overtime, direct cost savings it will generate in future work, etc.

E. FDO Determination

The FDO, with input from the CBFO Evaluation Board, will determine the amount of overall fee earned (subjective and objective). This determination is purely discretionary and is based solely on the judgment of the FDO. If PBI 1.1 and/or 1.3.B is not 100% complete, it is within the FDO's discretion to award partial fee. If the FDO decides to award partial fee for an incomplete PBI, based on demonstrated progress towards completion of the specified deliverable or objective, there is no obligation to act similarly for other incomplete PBIs. There is no minimum or partial PBI fee that must be awarded.

F. Unearned Fee

Fee that is not earned due to nonperformance of the PBI requirements or under the subjective criteria, as set forth in the PEMP, shall not be returned to the fee pool, and shall be forfeited. DOE will re-distribute unearned fee to specified projects work as cost dollars and the Contractor will not be able to earn fee on that additional scope.

## **Attachment 1 – ADJECTIVAL RATINGS**

For evaluating each subjective criterion (i.e. Quality, Schedule, Cost Control, Management, and Regulatory Compliance), the following adjectival ratings will be used:

<b>EXCELLENT</b>	Contractor has exceeded almost all of the significant award-fee criteria and has met overall cost, schedule, and technical performance requirements of the contract in the aggregate as defined and measured against the criteria in the award-fee plan for the award-fee evaluation period.
<b>VERY GOOD</b>	Contractor has exceeded many of the significant award-fee criteria and has met overall cost, schedule, and technical performance requirements of the contract in the aggregate as defined and measured against the criteria in the award-fee plan for the award-fee evaluation period.
<b>GOOD</b>	Contractor has exceeded some of the significant award-fee criteria and has met overall cost, schedule, and technical performance requirements of the contract in the aggregate as defined and measured against the criteria in the award-fee plan for the award-fee evaluation period.
<b>SATISFACTORY</b>	Contractor has met overall cost, schedule, and technical performance requirements of the contract in the aggregate as defined and measured against the criteria in the award-fee plan for the award-fee evaluation period.
<b>UNSATISFACTORY</b>	Contractor has failed to meet overall cost, schedule, and technical performance requirements of the contract in the aggregate as defined and measured against the criteria in the award-fee plan for the award-fee evaluation period.

The following is applied to the final adjectival rating(s) for the subjective evaluation criteria:

<b>Adjective Rating</b>	<b>Percentage of Subjective Component Fee Earned</b>
EXCELLENT	91 to 100%
VERY GOOD	76 to 90%
GOOD	51 to 75%
SATISFACTORY	No greater than 50%
UNSATISFACTORY	0%

## **Attachment 2 - SUBJECTIVE CRITERIA**

### **Quality of Products and Services (20%)**

The following items are examples of what will be considered for evaluation, but are not all-inclusive:

- Quality of Products – The Contractor delivers products of high quality consistent with applicable requirements.
- Quality of Services – The Contractor delivers services of high quality consistent with the contract and promulgated requirements.
- The Contractor conforms to contract requirements, specifications and standards of good workmanship.
- The Contractor meets program/project quality objectives such as producibility, reliability, and maintainability.
- QA Program – The Contractor maintains and implements an effective Quality Assurance program, with emphasis on Nuclear Quality Assurance (NQA)-1. SIMCO ensures compliance with and implementation of the prime contract requirements. The Contractor's implementing policies, plans, and procedures are clear and effective.
- Assessments – The Contractor's QA program effectively tracks assessment results and Non-Conformance Reports/Corrective Action Reports (NCR/CAR) within the Central Characterization Program (CCP) program and the following high-risk functional areas at the WIPP site: Configuration Management, Training Programs, Conduct of Operations, Maintenance, Design Control, Contractor Assurance Systems, and Waste Handling. Assessment results and timeliness are improved, or robust causal analysis and lessons learned implemented for degradations.
- Contractor Assurance System (CAS) – The Contractor demonstrates that CAS Performance Indicators are improving, and the CAS is effective. CAS effectiveness evaluation will also include other oversight tools available, e.g., PEMP, Correspondences (Quality, tracking, follow-up, etc.) based on areas of risk.
- Demonstrated improvement in the quality of the FIMS data

### **Schedule (20%)**

The following items are examples of what will be considered for evaluation, but are not all-inclusive:

- Timeliness of the Contractor against the completion of milestones, delivery schedules, and administrative requirements (e.g., efforts that contribute to or affect the schedule variance). This evaluation of the Contractor's adherence to the required delivery schedule should include the Contractor's efforts during the evaluation period that contribute to or affect schedule variance.
- Address significance of scheduled events (e.g., design reviews), discuss causes, and assess the effectiveness of Contractor's corrective actions to recover schedule variance.
- Ensure all necessary actions are taken in a timely manner to ensure certified waste availability to fill the shipping pipeline.
- The status of overall and specific program/project performance against the approved baseline; the management and recovery of schedule variance; and the effectiveness of schedule variance mitigation strategies.
- The timeliness of all submittals to DOE including Regulatory documents; contract documents such as Notifications of Contract Changed Conditions; project documents such as Baseline Change Proposals and Programmatic Change Requests; and safety documents such as Technical Safety Requirements to provide sufficient time for review, comment resolution, and revision in advance of document due dates or impacts to work. The frequency, magnitude, and justification for deliverable and document extension requests will be considered.
- Timely and effective communication (e.g., appropriate information, identification of issues) to DOE.
- The timeliness of completing scheduled Predictive and Preventative Maintenance (PM) actions to maximize equipment availability.
- NCR/Corrective Action – The Contractor metrics and surveillance show timely (avg <180 days) closure, and appropriate grading of open NCRs, CARs, and WIPP issues that are the responsibility of the Contractor to close.
- The timeliness of review and closure of current and future CAPs and MCP project construction work packages, and adherence to the approved baseline schedule.
- The timeliness of managing subcontract package reviews within the Procurement Threshold requirements, to include commodity vendors and commodity orders.
- Proactive management of the supply chain to prevent or mitigate delays in mission accomplishment or work stoppages.
- Timely resolution and closeout of subcontractor Request for Equitable Adjustments (REAs) and Engineering Change Notices (ECNs).

- Projects have schedule performance indicators above 0.90.

### **Cost Control (25%)**

The following items are examples of what will be considered for evaluation, but are not all-inclusive:

- The Contractor's effectiveness in forecasting, managing, and controlling contract cost. If the Contractor is experiencing cost growth or underrun, discuss the causes and Contractor-proposed solutions for the cost overruns or underruns.
- The extent to which the Contractor demonstrates a sense of cost responsibility through the efficient use of resources.
- The management of all obligated funds to preclude anti-deficiency.
- Demonstration of all efficiencies and real cost savings/avoidance, and how these savings were used to benefit DOE. This includes optimizing efficiencies in Min-Safe/Base Operations, without impacting overall mission performance/success with the goal of improving cost effectiveness while maintaining equivalent levels of safety, quality and security.
- Accurate project controls, cost estimating, budgeting and cost monitoring that allow for long range planning to control costs.
- Effectiveness/accuracy of the Earned Value Management System (EVMS) and operations activities cost reporting as well as the development and implementation of cost mitigation strategies to recover cost variances.
- The ability to stay within the approved Performance Measurement Baseline (PMB) for the work completed, as applicable.
- Demonstration of significant progress toward achieving a certified EVMS program.
- Projects have cost performance indicators above 0.90.

### **Management (20%)**

The following items are examples of what will be considered for evaluation, but are not all-inclusive:

- Setting and communicating priorities and a vision for WIPP that is consistent with the contract and DOE established mission and vision statements.
- Establishing and maintaining operational excellence and a strong safety culture.
- Effective Key Personnel management, including performance in selecting, retaining, supporting, and replacing, when necessary, key personnel, and overall ability of the Key Personnel to work together along with individual position performance.
- Effective problem resolution (e.g., reasonable and cooperative behavior to include timely identification of issues and responsiveness to customers).
- Effective risk management practices, and appropriate corrective action, as needed.
- Demonstrate effective subcontract management, including award of subcontracts as scheduled, inclusion of all requirements, subcontractor audits, and subcontract administration. Contractor will monitor subcontractor performance to ensure compliance with all requirements including small business subcontracting plans and DOE goals, Buy American Act, and applicable labor statutes.
- Cyber Security and Information Resource Management that provide a secure, reliable, and efficient Information Technology infrastructure along with timely software application development and deployment.
- Effective partnering relationships with regulators, stakeholders, and DOE generator sites, and maintaining a positive public relation.
- The responsiveness to reviews, assessments, inspections, and inquiries from external organizations.
- An effective safety and health program appropriately tailored for the uniqueness of nuclear and underground operations, including maintaining safe underground control conditions above a safety factor of 1.5.
- Effectiveness of the WIPP Safety Management Programs (Radcon, HazMat, RadHaz Waste Mgmt, Testing/Surveillance/Maint, Op Safety (ConOps/Fire Protection/Ground Control), Procedures/Training, QA, Emergency Mgmt, Mgmt org, and WAC compliance).
- Supports all Long-Range Planning and Life Cycle Baseline development, including infrastructure maintenance and upgrade planning.
- Demonstrates the ability to proactively develop and maintain award-ready infrastructure project packages aligned with site needs and funding expectations.
- Establishment of timelines to correct identified data validation issues in FIMS

### **Regulatory Compliance (15%)**

The following items are examples of what will be considered for evaluation, but are not all-inclusive:

- Compliance with all terms and conditions of the contract relating to applicable regulations and codes.
- Compliance with financial, environmental, safety, and labor regulations, as well as any other reporting requirements in the contract terms and conditions.
- Performance against Compliance Recertification Application requirements.
- Performance against WIPP Hazardous Waste Facility Permit (HWFP) requirements.
- Performance against the Environmental Management System.
- Performance against TRU waste transportation requirements.
- Performance against implementing and maintaining a compliant Safeguards and Security Program.
- Performance against 10 CFR 851 (Worker Safety and Health) requirements, which includes compliance with Mine Safety and Health Administration (MSHA) requirements.
- Performance against all other DOE and regulatory requirements (e.g., Executive Orders, DOE Policies, DOE Orders, DOE Standards, Federal regulations, applicable State and Local regulations/statutes, permits, etc.).

### **Attachment 3 - PERFORMANCE BASED INCENTIVES (PBIs)**

#### **PBI 1 Operations and Maintenance – (\$5,563,989)**

**1.1 TRU Waste Disposition (\$4,613,989)** –The Contractor will maintain capability of personnel and equipment for receipt and emplacement of certified TRU waste to meet the shipping demand of the generator sites. The allocation of waste receipts will be based on LANL certified waste being the highest priority, and then to achieve Idaho National Laboratory (INL) receipts at a level of 55% or more of the total TRU waste received for the evaluation period.

The Contractor shall demonstrate the following criteria are met:

- A. SIMCO will demonstrate the capability to receive 15 shipments per week for 40 shipping weeks with a surge capacity to 17 shipments per week during FY26, by September 30, 2026. (\$1,313,989)
- B. SIMCO will earn \$3,333.33 for each shipment receipt up to 300 total shipments based off expected generator site production and the planned WIPP shipping outages, by September 30, 2026. (\$1,000,000)
- C. SIMCO will earn \$10,000 for each shipment of Shielded Container Assemblies up to 30 shipments based on expected generator site production and the planned WIPP shipping outages, by September 30, 2026. (\$300,000)
- D. SIMCO will maintain a certified TRU waste backlog of 40 shipments, averaged over the period of 40 shipping weeks, by September 30, 2026. (\$200,000)
- E. SIMCO will provide expertise to the generator sites, Difficult Waste Team, Waste Stream Task Force, and the Technical Advisory Board (TAB) to address the National TRU Program priorities from across the TRU waste complex that increases waste availability by 5%, by September 30, 2026. (\$200,000)
- F. Mission Critical Equipment is maintained such that 5% of this fee will be reduced for each missed shipment that is attributable to any lack of Mission Critical Equipment, by September 30, 2026. (\$600,000)
- G. Shipping fleet of TRUPACTs and HalfPACTs is maintained and certified. 10% of available fee will be reduced for each shipment that is missed due to unavailability of shipping packages, by September 30, 2026. (\$500,000)
- H. Due to the priority of receiving waste from LANL, an additional \$12,500 will be earned for each of the first 40 shipments from LANL, by September 30, 2026. (\$500,000)

**1.2 CH Program Certification (\$100,000)** – The Contractor shall achieve initial WIPP Certified Program approval from CBFO for a CH TRU waste characterization/certification program at Hanford by September 30, 2026.

**1.3 Shielded Container Assembly (SCA) Procurement (\$850,000)** – The Contractor shall:

- A. Utilize detailed decisive data gathered from generator sites in FY 2026, to deliver a comprehensive, complex-wide annual purchase plan for SCAs, by March 30, 2026. (\$100,000)
- B. Complete the procurement and delivery of at least 50 SCAs to support the RH shipping goals delineated in the 3-Year Operational Shipping Plan, on condition that generator sites provide sufficient funding for those SCAs in accordance with the procurement process, by September 30, 2026. (\$750,000)

**PBI 2 Capital Asset Projects – (\$204,000)**

**2.1 Utility Shaft (US) Closeout (\$102,000)** - The Contractor shall submit the initial closeout report for US project within 90 days of receiving CD-4.

**2.2 Hoisting Capability (\$102,000)** - The Contractor shall provide to DOE all Contractor documents required by DOE O413.3B to support CBFO submittal of a CD-2/3 package, or equivalent, by September 30, 2026.

**PBI 3 Infrastructure – (\$2,030,000)**

**3.1 Information Technology (\$190,000)** – The Contractor shall:

**3.1.A Enterprise Architecture (\$90,000)** - The Contractor shall mature the WIPP Enterprise Architecture (EA) to better support cybersecurity, IT operations, and Office of the Chief Information Officer (OCIO) data request, as described:

- I. Update EA repository to align and support OCIO Data Call requests, by December 31, 2025. (\$30,000)
- II. Update EA data dictionary to improve support for regulatory, contractual, and operational requirements/needs, by April 30, 2026. (\$30,000)
- III. Demonstrate EA governance implementation consistent with the WIPP EA Policy, by September 30, 2026. (\$30,000)

**3.1.B AI Integration (\$100,000)** - The Contractor shall leverage and demonstrate artificial intelligence to enhance WIPP Operations while also managing the security risks associated with AI systems, by September 30, 2026.

**3.2 Upgrade Shipping Equipment (\$100,000)** - SIMCO will complete procurement, receipt, and revision of all applicable procedures, provide training, and place in service across all applicable generator sites and WIPP 6 Adjustable Center of Gravity Lift Fixture (ACGLF) by September 30, 2026.

**3.3 Increase Efficient use of Overpacks (\$100,000)** - Prepare Nuclear Regulatory Commission (NRC) and Department of Transportation (DOT) test plans, perform testing, compile data results, and prepare and submit NRC Safety Analysis Report (SAR) application to the NRC. This will include performing physical drop testing of test articles (6 drops total),

measuring and recording the drops (high speed video) and assessing the damage impact to the test articles. This SAR application will include the Criticality Control Overpack (CCO) phase 2 & overpack modules in the CH-TRAMPAC and will be submitted by September 30, 2026.

**3.4 Site Data Center CMR HVAC System** (\$200,000) – Procure, complete site acceptance testing and complete commissioning of the Site Data Center Central Monitoring Room (CMR) Heating, Ventilation, and Air Conditioning (HVAC) System Installation Project by September 30, 2026.

**3.5 Liner Replacement** (\$1,050,000) – The Contractor shall:

**3.5.A Site and Preliminary Design Validation (SPDV) Liner** (\$500,000) – Procure, install, test, and submit the Basic Data Report in accordance with the Discharge Permit DP-831 to the CBFO by September 30, 2026.

**3.5.B Salt Ponds 2 and 3 Liner Replacement** (\$550,000) – Remove both the existing primary and secondary liners, procure, install, and commission Salt Pond 2 and 3 liner replacement closure package(s) in accordance with DP-831 discharge permit and NMED requirements to CBFO by September 30, 2026.

**3.6 Surface and Underground Safety and Cost Effectiveness** (\$390,000) – The Contractor shall:

**3.6.A Door 140 Replacement** (\$50,000) – In order to eliminate the use of Special Administrative Controls associated with the Confinement Ventilation Zone in the CH Bay, the Contractor shall develop, procure, install, test, and confirm successful functionality of Door 140 between the CH Bay and the Conveyance Loading Room, by March 31, 2026.

**3.6.B Mining – Mobile Miner** (\$100,000) – In order to improve safety around the mobile mining machinery, the Contractor shall develop, implement, and successfully demonstrate a system that improves the situational awareness of workers near the mobile miners in the underground, by September 30, 2026.

**3.6.C Mining – Ventilation Control Door** (\$70,000) – In order to reduce operational and maintenance costs, the Contractor shall develop, implement, successfully demonstrate, and receive CBFO approval for an alternative to using compressed air to operate door actuation mechanisms in the underground, by June 1, 2026. The demonstrated alternative must maintain safety standards and reduce cost.

**3.6.D Mining - Bolter Safety** (\$100,000) – In order to improve safety in the underground, the Contractor shall develop, implement, successfully demonstrate, and receive CBFO approval for a method to eliminate manually handling drill steel and thread rods to install bolts in the underground, by September 30, 2026. The demonstrated method must maintain safety standards.

**3.6.E Mining - Panel 11** (\$70,000) – In order to enhance safety, improve the longevity, increase the stability, and lessen the maintenance costs of Panel 11, the Contractor shall develop, implement, and execute an improved mining sequence with

enhanced ventilation and improved ground control. The contractor shall install geotechnical monitoring equipment to monitor convergence in the main drifts after the initial cut is completed to verify effectiveness of the new sequence, by September 30, 2026.

ATTACHMENT J-1: REQUIREMENTS SOURCES AND IMPLEMENTING DOCUMENTS

**List B. Applicable DOE Directives (Revision 6)  
(MODs 29, 32, 46, 59, 66, 84)**

The DOE directives listed in the table below contain requirements relevant to the scope of work under this contract. In most cases, the requirements applicable to the contractor are contained in a Contractor Requirements Document (CRD) attached to the DOE directive. The Contractor is encouraged to continuously evaluate the work scope and contract requirements for opportunities to improve efficiency and/or optimization and propose alternative methods to those specified in the DOE directives.

**Table J-1.1 Directives, Regulations, Policies, and Standards**

Directive/Requirement	List B Directive Title
*DOE P 112.1	DOE Roles and Responsibilities – National Laboratories
*DOE P 140.1	Natural Resource Damage Assessment Cooperation and Integration
DOE O 140.1A	Interface with the Defense Nuclear Facilities Safety Board
* DOE P 141.1	Department of Energy Management of Cultural Resources
DOE O 142.2A Chg. 1	Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency
DOE M 142.2-1 Chg. 1	Manual for Implementation of the Voluntary Offer Safeguards Agreement and Additional Protocol with the International Atomic Energy Agency
DOE O 142.3B Chg. 1 (MOD 029)	Unclassified Foreign National Access Program
DOE O 144.1 Chg. 1	Department of Energy American Indian Tribal Government Interactions and Policy
<del>DOE O 150.1A</del> DOE O 150.1B (MOD 046)	Continuity Programs
<del>DOE O 151.1D Chg. 1</del> DOE O 151.1E (MOD 066)	Comprehensive Emergency Management System
DOE O 200.1A Chg. 1	Information Technology Management
<del>DOE O 203.1</del> REMOVED (MOD 066)	<del>Limited Personal Use of Government Office Equipment Including Information Technology</del>

Directive/Requirement	List B Directive Title
<del>DOE O 205.1C</del> <del>DOE O 205.1C Chg. 1</del> (MOD 029) DOE O 205.1D and EM- CSPP (MOD 046)	Department of Energy Cyber Security Program and Environmental Management Cyber Security Program Plan (EM-CSPP)
*DOE P 205.1 (MOD 029)	<del>Department Cyber Security Management Policy</del>
DOE O 206.1 Chg. 1	Department of Energy Privacy Program
<del>DOE O 206.2</del> <del>DOE O 206.2 Chg. 1</del> (MOD 046) DOE O 206.2 Chg. 2 (MOD 066)	Identity, Credential, and Access Management (ICAM)
DOE O 210.2A	DOE Corporate Operating Experience Program
DOE O 221.1B	Reporting Fraud, Waste, and Abuse to the Office of Inspector General
DOE O 221.2A	Cooperation with the Office of Inspector General
DOE O 225.1B	Accident Investigations
<del>DOE O 226.1B</del> DOE O 226.1B Chg.1 (MOD 029)	Implementation of Department of Energy Oversight Policy
*DOE P 226.2	Policy for Federal Oversight and Contractor Assurance Systems
DOE O 227.1A Chg. 1	Independent Oversight Program
DOE O 231.1B Chg. 1	Environmental, Safety, and Health Reporting
DOE O 232.2A Chg. 1	Occurrence Reporting and Processing of Operations Information
DOE O 240.1 (MOD 046)	Requesting Access to Electronic Records, Electronic Communications, and Access Control Records
DOE O 241.1B Chg. 1	Scientific and Technical Information Management
<del>DOE O 243.1B Chg. 1</del> DOE O 243.1C (MOD 046)	Records Management Program
DOE O 252.1A Chg. 1	Technical Standards Program
*DOE P 310.1 Chg. 1	Maximum Entry and Mandatory Separation Ages for Certain Security Employees
DOE O 313.1	Management and Funding of the Department's Overseas Presence
DOE O 341.1A	Federal Employee Health Services

Directive/Requirement	List B Directive Title
DOE O 350.1 Chg. 7	Contractor Human Resource Management Programs
<del>DOE O 350.5 (MOD 029)</del>	<del>COVID Safety Protocols for Federal Contractors</del>
*DOE P 364.1	Health and Safety Training Reciprocity
DOE O 410.2 Chg. 1	Management of Nuclear Materials
DOE O 411.2	Scientific Integrity
*DOE P 411.2A	DOE Scientific Integrity Policy
<del>DOE O 413.2C Chg. 1 Removed (MOD 066)</del>	<del>Laboratory Directed Research and Development</del>
<del>DOE O 413.3B Chg. 6</del> DOE O 413.3B Chg. 7 (MOD 046)	Program and Project Management for the Acquisition of Capital Assets
<del>DOE O 414.1D Chg. 2</del> DOE O 414.1E (MOD 066)	Quality Assurance
DOE O 415.1 Chg. 2	Information Technology Project Management
*DOE P 420.1	Department of Energy Nuclear Safety Policy
DOE O 420.1C Chg. 3	Facility Safety
<del>DOE O 422.1 Chg. 3</del> DOE O 422.1 Chg. 4 (MOD 046)	Conduct of Operations
DOE O 425.1D Chg. 2	Verification of Readiness to Startup or Restart Nuclear Facilities
DOE O 426.2 Chg. 1	Personnel Selection, Training, Qualification and Certification Requirements for DOE Nuclear Facilities
DOE O 430.1C Chg. 2	Real Property Asset Management [In accordance with Section H.87, and Section C.7.1.11]
DOE O 433.1B Chg. 1	Maintenance Management Program for DOE Nuclear Facilities
DOE O 435.1 Chg. 2	Radioactive Waste Management
DOE N 435.1	Contact-Handled and Remote-Handled Transuranic Waste Packaging
DOE M 435.1-1 Chg. 3	Radioactive Waste Management Manual
<del>DOE O 436.1</del> <del>DOE O 436.1A (MOD 029)</del> REMOVED (MOD 059)	<del>Departmental Sustainability</del>

Directive/Requirement	List B Directive Title
<del>DOE O 437.1</del> DOE O 437.1A (MOD 066)	Bridge and Tunnel Management
DOE O 440.2C Chg. 2	Aviation Management and Safety
DOE M 441.1-1 Chg. 1	Nuclear Materials Packaging
DOE O 442.1B	Department of Energy Employee Concerns Program
DOE O 442.2 Chg. 1	Differing Professional Opinions for Technical Issues Involving Environment, Safety and Health Technical Concerns
*DOE P 444.1	Preventing and Responding to all Forms of Violence in the Workplace
*DOE P 450.4A Chg. 1	Integrated Safety Management Policy
*DOE P 451.1	National Environmental Policy Act Compliance Program
*DOE P 454.1 Chg. 1	Use of Institutional Control
<del>DOE O 457.1A</del> Removed (MOD 066)	<del>Nuclear Counterterrorism</del>
DOE O 458.1 Chg. 4	Radiation Protection of the Public and the Environment
<del>DOE O 460.1D</del> DOE O 460.1D Chg. 1 (MOD 029)	Hazardous Materials Packaging and Transportation Safety
DOE O 460.2A	Departmental Materials Transportation and Packaging Management
<del>DOE M 460.2-1A</del> (MOD 029)	<del>Radioactive Material Transportation Practices Manual</del>
*DOE P 470.1B	Safeguards and Security Program
<del>DOE O 470.3C Chg. 1</del> DOE O 470.3C Chg. 2 (MOD 046)	Design Basis Threat (DBT) Order (Classified)
<del>DOE O 470.4B Chg. 2</del> DOE O 470.4B Chg. 3 (MOD 029)	Safeguards and Security Program
DOE O 470.5	Insider Threat Program
DOE O 470.6 Chg. 1	Technical Security Program
DOE O 471.1B	Identification and Protection of Unclassified Controlled Nuclear Information
<del>DOE O 471.3 Chg. 1</del> RESERVED (MOD 032)	<del>Identifying and Protecting Official Use Only Information</del>

Directive/Requirement	List B Directive Title
<del>DOE M 471.3-1 Chg. 1</del> RESERVED (MOD 032)	<del>Manual for Identifying and Protecting Official Use Only Information</del>
<del>DOE O 471.6 Chg. 3</del> DOE O 471.6 Chg. 4 (MOD 029)	Information Security
DOE O 471.7 (MOD 029)	Controlled Unclassified Information
<del>DOE O 472.2 Chg. 2</del> DOE O 472.2A (MOD 029)	Personnel Security
DOE O 473.1A (MOD 029)	Physical Protection Program
DOE O 473.2A (MOD 029)	Protective Force Operations
<del>DOE O 473.3A Chg. 1</del> RESERVED (MOD 032)	Protection Program Operations
<del>DOE O 474.2 Chg. 4</del> DOE O 474.2A Chg. 1 (MOD 046)	Nuclear Material Control and Accountability
DOE O 475.1	Counterintelligence Program
DOE O 475.2B	Identifying Classified Information
*DOE P 481.1	DOE's Policy Regarding Laboratories, Plants and Sites Engaging in Strategic Partnership Projects with Other Federal Agencies, Independent Organizations, and the Private Sector
<del>*DOE P 482.2</del> *DOE P 482.2 Chg. 1 (MOD 046)	Laboratory Technology Transfer Data Collection and Management
<del>DOE O 483.1B Chg. 2</del> Removed (MOD 066)	<del>DOE Cooperative Research and Development Agreements</del>
DOE O 484.1 Chg. 2	Reimbursable Work for the Department of Homeland Security
*DOE P 485.1A	Foreign Engagements with DOE National Laboratories
DOE O 486.1A	Foreign Government Sponsored or Affiliated Activities
<del>DOE O 520.1B</del> <del>DOE O 520.1B Chg. 1</del> (MOD 046) DOE O 520.1B Chg. 2 (MOD 066)	Financial Management and Chief Financial Officer Responsibilities
<del>DOE O 522.1A</del> DOE O 522.1A Chg. 1 (Ltd.Chg.) (MOD 066)	Pricing of Departmental Materials and Services
*DOE P 547.1A	Small Business First Policy

Directive/Requirement	List B Directive Title
DOE O 550.1 Chg. 1	Official Travel
<del>DOE O 5639.8A</del> Removed (MOD 066)	<del>Security of Foreign Intelligence Information and Sensitive Compartmented Information Facilities</del>
<del>DOE O 5670.1A</del> Removed (MOD 066)	<del>Management and Control of Foreign Intelligence</del>
	<b>Site Specific Requirements</b>
DOE/WIPP-02-3122, Rev. 10	Transuranic Waste Acceptance Criteria for the Waste Isolation Pilot Plant
DOE/WIPP-02-3214, Rev. 4	Remote-Handled Waste Characterization Program Implementation Plan (WCPIP)
DOE/EA-1340, Jan. 2001	Environmental Assessment for Conducting Astrophysics and Other Basic Science Experiments at the WIPP Site
DOE/WIPP-20-3526, Rev. 0	Waste Isolation Pilot Plan Biennial Environmental Compliance Report
DP-831, July 29, 2014	Conditions of Discharge Permit
NFPA 70E (2024) (MOD 084)	Standard for Electrical Safety in the Workplace, National Fire Protection Association
NFPA 70 (2023) (MOD 084)	National Electrical Code, National Fire Protection Association

\*These Policies set DOE expectations, but there are no requirements

## Part III - LIST OF DOCUMENTS, EXHIBITS, AND OTHER ATTACHMENTS

### Section J

#### List of Attachments

*DOE-J-2001 List of Attachments (Oct 2015)*

**(Modified in MODs 12, 14, 24, 29, 32, 39, 46, 49, 58, 59, 65, 66, 72, 84)**

The following attachments constitute part of this Contract:

Attachment Number	Title of Attachment
J-1	Requirements Sources and Implementing Documents ( <b>MODs 29, 32, 46, 59, 66, 84</b> )
J-2	Individual Small Business Subcontracting Plan
J-3	Performance Evaluation and Measurement Plan ( <b>MODs 12, 24, 49, 65, 66, 80, 84</b> )
J-4	Special Financial Institution Account Agreement for Use With The Payments Cleared Financing Arrangement
J-5	Performance Guarantee Agreement
J-6	Contract Deliverables List ( <b>MODs 14, 32, 39, 46, 58, 59, 66, 72, 84</b> )
J-7	Site Services and Interface Requirements ( <b>MOD 46</b> )
J-8	Community Commitment Plan (to be inserted at the time of contract award)
J-9	Wage Determinations
J-10	Acronyms

**ATTACHMENT J-6: CONTRACT DELIVERABLES LISTS  
(MODs 14, 32, 39, 46, 58, 59, 66, 72, 78, 84)**

The following list(s) of Contract Deliverables summarizes the specific products the Contractor shall submit to the U.S. Department of Energy (DOE), along with the date/timeframe the Contractor is required to submit, and the type of action DOE will perform. Additional Contract deliverables unique to each task may be required. Any omissions from these listings do not affect the Contractor's obligation to submit required deliverables pursuant to the Contract.

The Contractor shall allow at least 30 calendar days (unless otherwise specified in the deliverable) for review/comments/approval through DOE at each level (e.g., CBFO, HQ, etc.). Longer review times may be necessary.

Regarding transition deliverables, the Contractor shall identify all programs and procedures requiring DOE approval or concurrence during the transition period in its Blue-Sheeting Strategy. Transition deliverables shall be submitted expeditiously to allow sufficient time for DOE review. DOE will expedite review of these deliverables when possible.

Table J-6, *Contract Deliverables List*, provides a listing of Contract deliverables, including those required to be submitted during the transition period. The list is not all-inclusive and does not include situational deliverables. The Contractor is obligated to meet all requirements of this Contract and those included in any Task Orders that may be issued, even if not specifically listed herein.

The DOE actions are defined as follows:

- Approve – The Contractor shall provide the deliverable to DOE for review and approval. DOE will review the deliverable and provide comments or approve as submitted. If necessary, the Contractor shall revise the document to incorporate mandatory DOE comments and resubmit for DOE approval. Upon approval by DOE, the deliverable shall be placed under change control with changes requiring DOE approval. It is not intended that editorial changes or corrections that do not alter commitments would require new DOE approval.
- Information – The Contractor shall provide the deliverable for information purposes only. DOE will review the information and may provide comments.

Table J-6, *Contract Deliverables List*

Note: If the Deliverable date falls on a Holiday or a Weekend day, the Deliverables shall be received on the next business day, except for those Deliverables that are critical to be delivered on the identified date.

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
*1	C.1.0	C.1.0	Transition Plan	Approve	CO	Once	Within 7 days of Notice to Proceed
*2	C.1.0	C.1.0	Public Release Statement	Information	COR	Once	Within 72 hours of Notice to Proceed
*3	C.7.1.1.2	C.1.0	Notification of readiness to assume PMB development activities	Approve	CO	Once	Within 30 days of Notice to Proceed

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
*4	C.1.0	C.1.0	Transition Weekly Status Reports	Information	COR	Weekly	Beginning 7 days after Notice to Proceed, then weekly thereafter during transition period
*5	C.1.0	C.1.0	Blue-Sheeting Strategy	Approve	CO	Once	Within 10 days of Notice to Proceed
*6	C.1.0	C.1.0	Certification of plans and procedures process and acceptance of document ownership	Information	CO	Once	Within 45 days of Notice to Proceed
*7	C.1.0	C.1.0	Declaration of Readiness	Approve	CBFO Manager	Once	Within 75 days of Notice to Proceed
**8	C.2.3	C.2.3	Revisions to DOE/WIPP-09-3472, WDS User Manual (MOD 39)	Approve	CO	Once, then as revisions are made	Within 30 days of Notice to Proceed
**9	Section J-7	C.2.3	Interface Management Agreements	Information	CO	Once, then as revisions are made	Prior to Declaration of Readiness
10	DOE/CBFO-98-3103	C.3.1	8-Week Rolling Schedule	Approve	COR	Weekly, By Thursday COB	Every Thursday, via email and changes, as needed
11	DOE Request	C.3.1	Shipment Delay Logs	Information	NOD	Monthly	5th of each Month
12	10 CFR Part 71	C.3.2	Deferred NRC Type B packaging maintenance list	Information	NOD	Monthly	End of Month
13	Type B Certificate of Compliance	C.3.2	12-month Schedule of Maintenance and Repair Activities for Type B Packaging	Information	NOD	Monthly	End of Month
**14	DOE O 422.1	C.4	Conduct of Operations Program	Approve	CBFO Manager	Triennially	30 days after Notice to Proceed, then at least every 3 years thereafter, unless CO requests early submittal

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
**15	DOE O 420.1	C.4	Baseline Needs Assessment (BNA) for Fire Protection and Emergency Preparedness	Approve	CBFO Manager	At least Triennially	Within 30 days after Notice to Proceed then at least triennially thereafter, or whenever a significant new hazard that is not covered by the current BNA is introduced
16	<del>C.4</del> C.5.2, C.7.1.11.1 and H.87 (MOD 84)	<del>C.4</del> C.5.2, C.7.1.11.1 and H.87	Quarterly maintenance reports in FIMS	Information	SOID	Quarterly	End of Quarter
17	<del>C.4</del> C.5.2, C.7.1.11.1 and H.87 (MOD 84)	<del>C.4</del> C.5.2, C.7.1.11.1 and H.87	Integrated Facility and Infrastructure Quarterly Report	Information	SOID	Quarterly	End of Quarter
18	DOE O 413.3 and the EM Project Management Policy and Protocol	C.7.1.1	Project Management Plan	Approve	CBFO Manager	Once, then as revisions are made	Within 120 days after Notice to Proceed
19	DOE-H-2024	C.7.1.1.1	Earned Value Management System Description	Approval (MOD 32)	SOID	Once, then as revisions are made	Within 120 days of Notice to Proceed
20	DOE O 413.3 EM Project Management and Protocol H.42	C.7.1.1.2	Performance Measurement Baseline of Cost, Scope, and Schedule	Approve	CO	Once, then as revisions are made pursuant to Change Control	As noted in 20(a) through 20(d)
20 (a)	DOE O 413.3 EM Project Management and Protocol H.42	C.7.1.1.2	Detailed PMB for all operations activities for 4-year Base Period	Approve	CO		5/8/2023
20 (b)	DOE O 413.3 EM Project Management and Protocol H.42	C.7.1.1.2	Detailed PMB for all projects (CAP, GPPs, and other) for 4-year Base Period	Approve	CO		6/22/2023
20 (c)	<del>DOE O 413.3 EM Project Management and Protocol H.42 (MOD 72)</del>	<del>C.7.1.1.2</del>	<del>PMB Planning packages for all WIPP M&amp;O contract scope for remainder of 10-year contract POP</del>	<del>Approve</del>	<del>CO</del>		<del>9/30/2023</del>
20 (d)	<del>DOE O 413.3 EM Project Management and Protocol H.42 (MOD 72)</del>	<del>C.7.1.1.2</del>	<del>Detailed complete PMB for all WIPP M&amp;O contract scope including the remainder of the 10-year POP</del>	<del>Approve</del>	<del>CO</del>		<del>2/28/2024</del>

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
21	DOE O 413.3	C.7.1.1.2	Fiscal Year Work Plans (to include Annual PMB for both M&O and MCP) (MOD 72)	Approve	CO	Annually	8/31
22		C.7.1.2.1	Monthly Performance Report	Information	COR	Monthly	No later than the 15 <sup>th</sup> of each month, representing the prior month's performance
23	DOE O 413.3	C.7.1.5	Risk Management Plan	Approve	COR	Once, then as revisions are made	Within 120 days of Notice to Proceed
24	DOE O 205.1	C.7.1.6.1	Cyber Security Program Plan (CSPP)	Approve	IT System Authorizing Official (AO)	Once, then as revisions are made	Within 120 days of Notice to Proceed
**25	DOE P 205.1 and DOE O 206.1	C.7.1.6.1	Incident Response and Cyber Security Contingency Plan	Approve	IT System Authorizing Official (AO)	Once, then as revisions are made	Within 30 days of Notice to Proceed
**26	DOE P 205.1	C.7.1.6.3	System Security Plan (SSP)	Approve	IT System Authorizing Official (AO)	Once, then as revisions are made	Within 30 days of Notice to Proceed
**27	DOE O 206.1	C.7.1.6.7	Annual Privacy Training	Approve	COR	Annual	Within 30 days of Notice to Proceed and annually thereafter
**28	DOE O 206.1	C.7.1.6.7	Draft Privacy Needs Assessments / Privacy Impact Assessments	Approve	COR	Once, then as revisions needed for subsequent revisions	Within 30 days of Notice to Proceed
**29	Section H, Records Management	C.7.1.7	Records Management Plan	Approve	CO	Once, then as revisions are made	Within 60 days of Notice to Proceed
30	Section H, Records Management	C.7.1.7	Records Disposition Plan	Approve	CO	Once, then as revisions are made	Within 6 months of Notice to Proceed: Annually by 4/30 thereafter if changes made
31	Government Publishing Office (GPO)	C.7.1.7	Commercial Printing Report	Information	Government Information Specialist (RMFO)	Semi-Annual	4/30, 10/31
32	GPO	C.7.1.7	The Printing and Publishing of the 3-year Site Plan	Information	Government Information Specialist (RMFO)	Annually	<del>10/31</del> Within 7 days of receipt of the data call (MOD 39)

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
33	DOE O 243.1, 36 CFR 1236, Section H, Records Management	C.7.1.7	Essential (formerly "Vital") Records Program and Inventory	Approve	Government Information Specialist (RMFO)	Annually	Within 6 months of Notice to Proceed: Annually by 7/31 thereafter
34	Section H, <i>Records Management</i>	C.7.1.7	Electronic Information Systems (EIS) Inventory	Approve	Government Information Specialist (RMFO)	Annually	Within 6 months of Notice to Proceed: Annually by 10/31 thereafter
35	DOE O 243.1; Section H, <i>Records Management</i>	C.7.1.7	Site-wide File Plan	Approve	Government Information Specialist (RMFO)	Annually	Within 6 months of Notice to Proceed: Annually by 4/30 thereafter
36	Section H, <i>Privacy Act Systems of Record</i>	C.7.1.7	Privacy Act System of Record Review	Approve	Government Information Specialist (RMFO)	Annually, review list and notify CO, in writing, that the list is accurate and up to date, or provide revision	Within 6 months of Notice to Proceed: Annually by 7/31 thereafter
37	Section H, DOE-H-2045 Contractor Community Commitment	C.7.1.8	Annual Community Commitment Plan	Information	OBO	Annually	<del>4/30</del> 1/15 (MOD 84)
38	Section H, DOE-H-2045 Contractor Community Commitment	C.7.1.8	Progress reports on Community Commitment Plan progress	Information	OBO	Semi-Annually	<del>4/30, 10/31</del> 7/15, 1/15 (MOD 84)
39	C.7.1.10.1	C.7.1.10.1	Phase-out Transition Plan	Approve	CO	Once	60 days prior to end of contract period of performance
40	C.7.1.10.2	C.7.1.10.2	Closeout Plan	Approve	CO	Once	60 days prior to end of contract period of performance
41	<del>DEAR 970.5245</del> RESERVED (MOD 66)	<del>C.7.1.11</del>	<del>Vehicle Usage Report to CBFO</del>	<del>Information</del>	<del>Personal Property Manager</del>	<del>Annual</del>	<del>11/30</del>
42	<del>I.142</del> RESERVED (MOD 66)	<del>C.7.1.11</del>	<del>Property Management System (including Plans, Systems, Procedures)</del>	<del>Approve</del>	<del>CO</del>	<del>Once, then annually</del>	<del>Within 135 days of Notice to Proceed</del>
43	I.142	C.7.1.11	Report of Physical Inventory	Approve	COR	Annual	9/30

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
**44	Section H, DOE-H-2027 Contractor Property Management Systems Administration I.142 DOE O 203.1	<del>C.7.1.11.1</del> C.7.1.11.2 (MOD 66)	Contractor Property Management System	Approve	<del>COR</del> COR/DOE Property Manager	Once, then as revisions are made	Within 60 days of Notice to Proceed
45	<del>DOE Request</del> RESERVED (MOD 84)	<del>C.7.1.11.1</del>	<del>Facility Information Management System (FIMS) Reconciliation with STARS (MOD 32)</del>	Information	SOID	Annual	9/30
46	<del>DOE Request</del> RESERVED (MOD 84)	<del>C.7.1.11.1</del>	<del>FIMS Annual Reports</del>	Information	SOID	Annual	9/30
47	DOE O 430.1	C.7.1.11.1(6)	Real Property Planning Document in Five (5) Year Site Plan	Approve	CO	Annual	9/30
48	<del>DOE O 433.1</del> RESERVED (MOD 66)	<del>C.7.1.11.2</del>	<del>List of GFE maintenance affected by shipping schedule, budget, or funding constraints (MOD 32)</del>	Approve	COR	Annual	9/30
49	I.172 DOE G 580.1-1a	C.7.1.11.3	Personal Property Furnished to Non-Federal Recipients Report to CBFO	Information	Personal Property Manager	Annual, Data Call	10/31
50	DEAR 970.5245	<del>C.7.1.11.5</del> C.7.1.11.4 (MOD 66)	GSA Vehicle Use	Information	<del>Personal Property Manager</del> CO/Fleet Manager	Annual	10/31
**51	Section H, DOE H 2001 Employee Compensation: Pay and Benefits RESERVED (MOD 78)	<del>C.7.1.12</del>	<del>List of the Top 5 Highly Compensated Executives and their total cash Compensation</del>	Information	CO	Once, then Annually	Within 20 days of Notice to Proceed, then Annually by 1/30
52	Section H, DOE H 2001 Employee Compensation: Pay and Benefits RESERVED (MOD 78)	<del>C.7.1.12</del>	<del>Compensation Increase Plan</del>	Approve	CO		30 days prior to start of salary plan year

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
53	Financial Accounting Standards Board (FASB) ASC 715	C.7.1.12	Pension Report (provided to CBFO & HQ)	Information	iBenefits portal w/ copy to CO	Annual, per DOE schedule	5/15
54	Financial Accounting Standards Board (FASB) ASC 715	C.7.1.12	Post Retirement Benefits other than Pension (provided to CBFO & HQ)	Information	iBenefits Portal w/copy to CO	Annual, per DOE schedule	6/12
55	Section H, DOE-H-2001 Employee Compensation: Pay and Benefits	C.7.1.12	Pension Plan Changes	Approve	CO	60 days prior to change	9/30
**56	10 CFR 830 DOE O 414.1	C.7.2	Quality Assurance Program	Approve	CBFO Manager	Annual	Within 30 days of Notice to Proceed
**57	Section H, DOE-H-7003 Contractor Assurance System DOE O 226.1	C.7.2	Contractor Assurance System	Approve	COR	Once, then as revisions are made	Within 45 days of Notice to Proceed
**58	DOE O 460.1	C.7.2.5	Quality Assurance Program for Certified Type B or Fissile Materials Packaging	Approve	COR	Once, then as revisions are made	Within 30 days of Notice to Proceed, then at least 60 days prior to expiration or revision
**59	Section H, DOE-H-2053 Worker Safety and Health Program in Accordance with 10 CFR 851	C.7.3.1	Worker Safety and Health Program (WSHP)	Approve	CBFO Manager	Once, then annually thereafter (and whenever a significant change or addition is made)	Within 45 days of Notice to Proceed
60	Section H, Labor Standards DOE O 350.3 29CFR, Part 5.7(b)	N/A	Davis Bacon Act Enforcement Report (in iBenefits)	Information	iBenefits Portal w/copy to Contracting Officer (CO)	Semi-Annually	Per iBenefits
61	DOE O 436.1	C.7.4.6	Site Sustainability Plan	Information	ERCD	Annual Update	11/30
**62	10 CFR 830 and 48 CFR 970.5223-1	C.7.3.1	Integrated Safety Management System (ISMS)	Approve	COR	Once, then as revisions are made	Within 30 days of Notice to Proceed
63	10 CFR 830 and 48 CFR 970.5223-1	C.7.3.1	Performance Objectives Measures and Commitments (POMC) Update	Approve	COR	Annually	10/31
64	DOE/EH-0433	C.7.3.1	VPP Review and Report	Information	SPD	Annual	1/15
**65	DOE O 420.1	C.7.3.7	Fire Protection Program	Approve	CBFO Manager	Once, then as revisions are made	Within 30 days of Notice to Proceed

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
66	DOE O 420.1	C.7.3.7	Fire Protection Program Self-Assessment	Information	COR	Triennial and if CO requests early submittal	Every 3 Years
**67	DOE O 420.1	C.7.3.7	Criticality Safety Program Document	Approve	CBFO Manager	Once, then as revisions are made	Within 30 days of Notice to Proceed
**68	DOE O 420.1 I.144(e) 10 CFR 830	C.7.3.7	Documented Safety Analyses, Safety Basis, and Emergency Management Documents	Approve	CBFO Manager	Once, then as revisions are made	Within 30 days of Notice to Proceed.
69	10 CFR 830	C.7.3.7	Unreviewed Safety Question Determination (USQD) Summary Report	Information	SSOD	Annual	12/31
70	DOE O 458.1, 40 CFR 191.13, 40 CFR 191.15, and 40 CFR 191 Subpart C (see Appendix IGP of CRA-2014)	C.7.4	Groundwater Protection Plan	Information	ERCD	Annual	9/30
71	DOE O 231.1	C.7.4	Annual Site Environmental Report	Information	ERCD	Annual by October 1 for the previous calendar year	10/1
72	40 CFR 194.33, 40 CFR § 191.14(b)	C.7.4	Delaware Basin Drilling Surveillance Program Annual Report	Information	ERCD	Annual	<del>9/30</del> 10/31 (MOD 66)
73	LWA Section 9(a)(2)	C.7.4	Biennial Site Environmental Compliance,	Approve, CBFO transmits to EPA and others on distribution	CBFO Manager	Biennial. Even Numbered years	10/31
74	DOE O 458.1, 40 CFR 194.42	C.7.4	Environmental Monitoring Plan	Information	ERCD	Annual	9/30
**75	<del>40 CFR 851.11(e)(1)</del> RESERVED	<del>C.7.4</del>	<del>Worker Safety and Health Plan</del>	<del>Approve</del>	<del>COR/OWIPP</del>	<del>Once, then annually thereafter and as changes occur</del>	<del>Within 30 days of Notice to Proceed</del>
76	LWA, Section 8 (f)	C.7.4.1	Recertification Application to the EPA Administration, DC	Approve	CBFO Manager	Every 5 years, 30-45 days in advance of scheduled annual inspection	Every 5 years, determined based on date of previous submittal (Previous submission on 3/26/19)
77	40 CFR 194.4(b)(4)	C.7.4.1	Change Report to the Office of Radiation & Indoor Air, EPA	Information	ERCD	Annual	11/18

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
78	TSCA, 40 CFR 761.180 Annual	C.7.4.1	PCB Report (including document log), to EPA Region 6	Approve	CBFO Manager	Annual	7/15
79	CERCLA 40 CFR 370.25 I.51	C.7.4.1	SARA Title III, Tier II, National Response Center, NMED, EPA, Region VI, Local Emergency Planning Committee in Carlsbad, NM Emergency Response Commission in SF	Approve	CBFO Manager	Annual	3/31
80	NESHAP, US EPA Region VI, Dallas	C.7.4.1	NESHAP, US EPA Region VI, Dallas (National Emissions Standards of Hazardous Air Pollutants)	Approve	CBFO Manager	Annual	6/30
81	I.59, CERCLA 40 CFR 372.30	C.7.4.1	Toxic Chemical Release Report/ Hazardous Chemicals Inventory to EPA and to the NM Dept. of Homeland Security (from R-TRI Report)	Approve	CBFO Manager	Annual	6/15
82	40 CFR 191.14(b)	C.7.4.1	Subsidence Report to EPA Compliance Program Manager. Mine Engineering Prepares the report, but it is submitted by others.	Approve	CBFO Manager	Annual	1/31
83	RCRA/HWFP L-4c(3) & N-4c	C.7.4.2	Laboratory Operating Procedures	<del>Approval</del> Information (MOD 39)	CBFO Manager	<del>Annual</del> When non-editorial revisions of lab procedures take place	<del>4/31</del> Submit within 180 days of the change
84	RCRA HWFP I.3.2	C.7.4.2	RCRA Permit Renewal, to Hazardous Waste Permits Program Mgr., Hazardous Waste Bureau, NMED, SF	Approve	CBFO Manager	Every 10 years	7/1
85	RCRA HWFP 4.6.4.2	C.7.4.2	Mine Ventilation Report, to Hazardous Waste Permits Program Manager, NMED	Approve	CBFO Manager	Annual	10/27

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
86	RCRA HWFP 4.6.1.2	C.7.4.2	Geotechnical Analysis Report, to Hazardous Waste Program Manager, Hazardous Waste Bureau of the NMED	Approve	CBFO Manager	Annual	10/27
87	Waste Minimization Statement	C.7.4.2	Waste Minimization Statement	Approve, CBFO transmits to NMED	CBFO Manager	Annual	12/31
88	NM Water Quality Act, 20.6.2.3107 NMAC (DP-831)	C.7.4.2	Water Discharge Report – Information Changes, NMED, Groundwater Pollution Prevention Section (Semi-Annual DP-831 Report) SF	Approve	CBFO Manager	Semi-Annual	1/15, 7/15
89	RCRA 40 CFR 264.75 (20.4.1.500 NMAC)	C.7.4.2	RCRA Biennial Report, NMED Hazardous Waste Bureau, Data Mgmt Program, NMED, SF	Approve, CBFO transmits to NMED	CBFO Manager	Biennially, even numbered years	3/1
90	NMAC 20.4.3	C.7.4.2	Hazardous Waste Generation Fee	Information	ERDC	Annual, based on receipt of invoice	Pay fee to NMED
91	RCRA HWFP Part 4 Section 4.6.6.2	C.7.4.2	<del>Volatile Organic Compound, Hydrogen, and Methane Data Summary Report</del> Semi-Annual Volatile Organic Compounds (VOC) Data Report (MOD 39)	Approve	COR	Semi-Annual	4/30, 10/30
**92	DOE O 470.4	C.7.5	Safeguards & Security Plan	Approve	COR	Once, then annually thereafter and as changes occur	Within 30 days of Notice to Proceed, 9/30
93	DOE O 151.1	C.7.6	Emergency Planning Hazards Survey Updated for TP-III and pool fires	<del>Information</del> Approval (MOD 32)	<del>SPD</del> CBFO Manager (MOD 32)	Triennial or as required	Every 3 Years, and if CO requests early submittal
94	DOE O 151.1	C.7.6	Emergency Readiness Plan (ERAP) – Submission through PULSE (MOD 66)	Approve	CBFO Manager	Annual	9/30
95	DOE O 151.1	C.7.6	Emergency Management Program Plan	Approve	CBFO Manager	Annual	9/30

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
96	DOE O 151.1	C.7.6	Annual Exercise Package (Plan)	Approve	COR	Annual	9/30
97	LWA Section 16, subsection C (1)(A)&(B)	C.7.6 C.7.1.8	External Emergency Management staff assignments	Information	SPD	Weekly	Every Tuesday
**98	<del>Section H, DOE-H-2001 Employee Compensation: Pay and Benefits</del> RESERVED (MOD 78)	N/A	<del>Contractor Employee Compensation Plan</del>	<del>Approve</del>	<del>CO</del>	<del>As required</del>	<del>No later than the end of the transition period and upon any compensation plan approved revisions (#113)</del>
99	<del>Section H, DOE-H-2001 Employee Compensation: Pay and Benefits</del> RESERVED (MOD 78)	N/A	<del>Annual Contractor Salary-Wage Increase Expenditure Report</del>	<del>Information</del>	<del>CO</del>	<del>Annual</del>	<del>Annually, 30 days after the end of the Compensation Increase Plan Year</del>
100	Section H, DOE-H-2001 Employee Compensation: Pay and Benefits	N/A	Annual Report of Compensation and Benefits in iBenefits	Information	CO	Annual	Annually per iBenefits by March 15
101	<del>Section H, DOE-H-2001 Employee Compensation: Pay and Benefits</del> RESERVED (MOD 78)	N/A	<del>Variable Pay Programs/ Incentives/ Bonuses</del>	<del>Approve</del>	<del>CO</del>	<del>As required</del>	<del>Prior to implementation and upon revision</del>
**102	Section H Workforce Transition And Benefits Transition: Plans and Timeframes	N/A	Preference in Hiring Reports	Information	CO	As required	Weekly during transition, then as requested by CO
103	<del>Section H, DOE-H-2001 Employee Compensation: Pay and Benefits</del> RESERVED	N/A	<del>Top Contractor Official and Key Personnel Salary</del>	<del>Approve</del>	<del>CO</del>	<del>As required</del>	<del>30 days prior to effective date of salary action</del>
104	<del>Section H, DOE-H-2001 Employee Compensation: Pay and Benefits</del> RESERVED (MOD 78)	N/A	<del>Employee Benefits Value (Ben-Val) study</del>	<del>Information</del>	<del>CO</del>	<del>As required</del>	<del>First year, then every 2 years</del>
105	<del>Section H, DOE-H-2001 Employee Compensation: Pay and Benefits</del> RESERVED (MOD 78)	N/A	<del>Employee Benefits Cost Study Comparison</del>	<del>Information</del>	<del>CO</del>	<del>Annual</del>	<del>Annually, within 30 days after HQ issues report</del>

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
106	Section H, DOE-H-2001 Employee Compensation: Pay and Benefits	N/A	Pension and Other Benefit Programs Data Submittals into iBenefits	Information	CO	As required	per iBenefits
107	<del>Section H, DOE H 2001 Employee Compensation: Pay and Benefits</del> Employee Retirement Income Act (ERISA) Section 103 (MOD 78)	N/A	<del>Audit Report of Pension Plan in Accordance with ERISA Section 103</del>	Information	CO	Annual	Annually, no later than IRS Form 5500 due date
108	<del>Section H, DOE H 2001 Employee Compensation: Pay and Benefits</del> RESERVED (MOD 78)	N/A	<del>Certification in Accordance with ERISA Section 104</del>	Information	CO	As required	As required
109	Section H, DOE-H-2001 Employee Compensation: Pay and Benefits	N/A	Pension Management Plan/PRB Submitted into iBenefits	Information	CO	Annual	Annually per iBenefits by February 15 of each applicable year (MOD 78)
110	<del>Section H, DOE H 2001 Employee Compensation: Pay and Benefits</del> RESERVED (MOD 78)	N/A	<del>Actuarial Valuation Reports</del>	Information	CO	Annual	<del>Annually after last day of Plan Year, not later than due date for filing IRS Form 5500</del>
111	<del>Section H, DOE H 2001 Employee Compensation: Pay and Benefits</del> IRS Requirement/Law (MOD78)	N/A	IRS Forms 5500 with Schedules	Information	CO	Annual	Upon submittal to the IRS
112	<del>Section H, DOE H 2001 Employee Compensation: Pay and Benefits</del> IRS Requirement/Law (MOD 78)	N/A	IRS Forms 5300	Information	CO	As required	Upon submittal to the IRS
113	Section H, DOE-H-2001 Employee Compensation: Pay and Benefits	N/A	Proposed Changes to Pension Plans and Benefits Plans	Approve	CO	As required	At least 60 days prior to proposed revisions

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
*114	Section H, DOE-H-2001, Employee Compensation: Pay and Benefits and Special Provisions Applicable to Workforce Transition and Employee Compensation: Pay and Benefits (MOD 78)	<del>H.5</del> <del>H.6</del> N/A (MOD 32)	<del>Allowable Salary for Key Personnel and Top Contractor Official and Key Personnel Salary</del>	Approve	CO	As required	<del>Within 20 days of Notice to Proceed and 30 days prior to effective date of subsequent salary actions. 30 days prior to effective date of salary action</del>
114A	Section H, DOE-H-2001, Employee Compensation: Pay and Benefits; FAR 31.205-6, DEAR 970.3102-506 (MOD 78)	H.5	Compensation CAP Report (5 highest paid) Contractor's Total Compensation System – compensation practices and plans and benefits plans to set cost reimbursable guidelines	(30 days); Information thereafter (post award) Review / Accept	CO	Annually-As required	March 1 Review/Accept (30 days)
114B	FAR 31.205-6, DEAR 970.3102-506 (MOD 78)		Compensation CAP Report (5 highest paid)	Information	CO	As required	Annually by March 1
114C	Section H, DOE-H-2001, Employee Compensation: Pay and Benefits; FAR 31.205-6, DEAR 970.3102-506 (MOD 78)	H.5	Information pertaining to the Contractor's Total Compensation System	Review	CO	As required by CO	Review (30 days)
*115	Section H, Workforce Transition and Benefits Transition: Plans and Timeframes	N/A	Final Workforce Transition Communication Plan	Approve	CO	As required	Within 15 days of Notice to Proceed
*116	Section H, Workforce Transition and Benefits Transition: Plans and Timeframes	N/A	Final Workforce Transition Plan	Approve	CO	As required	Within 30 days of Notice to Proceed

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
*117	Section H, Workforce Transition and Benefits Transition: Plans and Timeframes	N/A	Final Benefits Transition Plan: Transition Agreements	Approve	CO	As required	Within 60 days of Notice to Proceed
*118	Section H, Workforce Transition and Benefits Transition: Plans and Timeframes	N/A	Final Benefits Transition Plan: New Benefit Plans	Approve	CO	As required	No later than 60 days after Notice to Proceed and prior to adopting documents
119	Section H, Workforce Transition and Benefits Transition: Plans and Timeframes	N/A	Timely Data Responses to Departmental Annual and Ad Hoc Pension, PRB, and Benefit Plan Data Requests	Information	CO	As required	Upon Contracting Officer request
120	Section H, DOE-H-7025 Labor Relations	N/A	Collective Bargaining Agreement Economic Bargaining Parameters	Approve	CO	As required	Prior to entering the collective bargaining process
121	Section H, DOE-H-7025 Labor Relations	N/A	Report of Settlement (Labor Reports) in iBenefits	Information	CO	As required	Per iBenefits
122	Section H, DOE-H-7025 Labor Relations	N/A	Labor Relations Semi-Annual Report	Information	CO	As required	Every 6 months, 6/30, 12/31
123	Section H, Workforce Restructuring DOE O 350.3	N/A	Workforce Restructuring Plan	Approve	CO	As required	At least 30 business days in advance of the first communication planned for employees and the public
124	Section H, Workforce Restructuring DOE O 350.3	N/A	<del>Diversity</del> Adverse Impact Analysis of Involuntary Separation (MOD 59)	Approve	CO	As required	Prior to notification of employees selected for involuntary separation
125	Section H, Workforce Restructuring	N/A	Workforce Restructuring Reports in iBenefits	Information	CO	As required	Per iBenefits
126	Section H, Labor Standards	N/A	Request for Labor Standards Determination	Information	CO	As required	Prior to startup of work of submission of subcontract consent package

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
127	Section H, Labor Standards	N/A	Standard Form 98 (e98), Notice of Intention to Make a Service Contract and Response Notice	Information	CO	As required	Upon determination of subcontract being covered by Service Contract Labor Standards
128	Section H, DOE-H-2073 Risk Management and Insurance Programs; Section I, FAR 52.228-5, Insurance	N/A	Copy of Insurance Policies or Insurance Arrangements	Information	CO	As required	Within 30 days of purchase and upon renewal
129	Section H, DOE-H-2073 Risk Management and Insurance Programs	N/A	Insurance Cost, Self-Insurance Charges, and Experience Report	Information	CO	Annual	Annually, end of policy year
**130	Section H, DOE-H-2073 Risk Management and Insurance Programs DOE-H-7024 Workers' Compensation Insurance	N/A	Insurance Programs including Workers' Compensation	Approve	CO	As required	Prior to the end of contract transition and upon any revisions
131	Section H, DOE-H-7002 Long Range Planning, Program Development, and Budgetary Administration	N/A	Long Range Plan (i.e., 10-year strategic outlook)	Approve	CO	Annual	8/31
**132	Section H, DOE-H-2035 Organizational Conflict of Interest Management Plan	N/A	Organizational Conflict of Interest Management Plan	Approve	CO	As required	Within 15 days of Notice to Proceed
133	Section H, DOE H 2046 Diversity Program (MOD 58)	N/A	Diversity Report	Information	CO	Annual	Annual
**134	Section H, DOE H 2046 Diversity Program (MOD 59)	N/A	Diversity Plan and Updates	Approve	CO	As required	Within 60 days of Notice to Proceed and prior to revisions

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
**135	Section H, DOE-H-2080 Workplace Substance Abuse Programs at DOE Sites DOE O 350.3 52.223-6 10 CFR 707	N/A	Workplace Substance Abuse Program and Employee Assistance Program	Approve	CO	As required	Prior to the end of contract transition, then as revisions are made
**136	Section H, Legal Management	N/A	Litigation Management Plan	Approve	CO	Once, then as revision are made	Within 60 days of Notice to Proceed
*137	Section J, Attachment J-4, Special Financial Institution Account Agreement for Use With the Payments Cleared Financing Arrangement	N/A	Executed Financial Institution Account Agreement	Approve	CO	Once	Within 7 days of Notice to Proceed
138	I.191(f) H.23	PEMP	Year-End Contractor Self-Assessment (MOD 66)	Information	COR	Annually	<del>10/31</del> 10/10
**139	DOE O 150.1	N/A	Continuity of Operations Plan	Approve	CBFO Manager	Once, then Annual	Within 60 days of Notice to Proceed
140	DOE O 350.1 Chg 7, FAR 52.223-6, 10 CFR 707	N/A	Results of Workplace Substance Abuse Program	Information		Semi-Annual	within 30 days of the close of each six month period based on calendar year
**141	DOE O 426.2	N/A	Procedure for Granting Exceptions to Training Requirements	Approve	CBFO Manager	Once, then as revisions are made	Within 60 days of Notice to Proceed
**142	DOE O 426.2	N/A	Training Program Plan or Training Implementation Matrix	Approve	CBFO Manager	As required	Within 60 days of Notice to Proceed
143	DOE O 433.1	C.7.5	Nuclear Maintenance Management Program	Approve	CBFO Manager	Every 3 years or as required	At least 60 days prior to startup of new hazard category 1, 2, and 3 nuclear facilities and at least every three years for all hazard category 1, 2, and 3 nuclear facilities
**144	DOE M 435.1-1	N/A	Radioactive Waste Management Basis	Approve	CBFO Manager	Once, then as revisions are made	Within 60 days of Notice to Proceed

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
145	DOE M 435.1-1 10 CFR 851.21(b)	N/A	Listing of Closure Facility Hazards and Established Controls	Approve	CBFO Manager	Once, then as revisions are made	As required
**146	<del>DOE M 441.1-1</del> RESERVED	N/A	Technical Basis for Nuclear Material Packaging Systems	Approve	COR/Field Element Manager	Once, then as revisions are made	Within 60 days of Notice to Proceed
**147	<del>DOE M 441.1-1</del> RESERVED	N/A	Technical Basis for Nuclear Material Packaging Systems	Approve	COR/Field Element Manager	Once, then as revisions are made	Within 60 days of Notice to Proceed
**148	<del>DOE M 441.1-1</del> RESERVED	N/A	Process for Documenting the Nuclear Materials Storage Program	Approve	COR/Field Element Manager	Once, then as revisions are made	Within 60 days of Notice to Proceed
**149	DOE O 442.1	N/A	Employee Concerns Program Implementing Documentation	Approve	CO	Once, then as revisions are made	Within 60 days of Notice to Proceed
**150	DOE O 458.1	N/A	Environmental Radiological Protection Program	Approve	CBFO Manager	Once, then as revisions are made	Within 60 days of Notice to Proceed
**151	DOE O 474.2 10 CFR 830	N/A	Nuclear Material Control and Accountability Plan/Program	Approve	CO	Once, then as revisions are made	Within 60 days of Notice to Proceed
152	Section I, FAR 52.222-2, Payment for Overtime Premiums	N/A	Overtime Control Plan	Approve	CO	As required	As required
153	<del>Section I, FAR 52.222- 2, Payment for Overtime Premiums</del> Section I.196, DEAR 970.5222-2 Overtime Management (DEC 2000) (MOD 32)		Semiannual Report on Overtime Use	Information	CO	Semiannual	<del>7/15, 1/15</del> 10/15, 4/15 (MOD 32)
154	Section, I FAR 52.222-26 Equal Opportunity	N/A	Affirmative Action Plan for Females and Minorities	Information	CO	Annual	Within 30 days of authorization to assume contract; annually thereafter
155	Section I, FAR 52.222-26, Equal Opportunity	N/A	Employer Information Report (Standard Form 100, EEO-1)	Information	CO	Annual	Annually as required

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
156	Section I, FAR 52.222-26, Equal Opportunity	N/A	Information Required by Executive Order 11246, as Amended	Information	CO	As required	As required
157	Section I, FAR 52.222-35 Equal Opportunity for Veterans Section I, FAR 52.222-36 Equal Opportunity for Workers with Disabilities	N/A	Affirmative Action Plan for Veterans & Individuals with Disabilities	Information	CO	Annual	Within 30 days of authorization to assume the contract; annually thereafter
158	Section I, FAR 52.222-37, Employment Reports on Veterans	N/A	Filing of VETS-4212 Federal Contractor Veterans' Employment Report	Information	CO	Annual	Annually by 9/30
159	DOE-HQ, DOE-EM and CBFO <del>PPPO</del> reporting (MOD 32)	N/A	Employee Headcount Report: actual total on-site contract count with detail as requested	Information	CO	Monthly	Monthly, by 8th day after end of month
160	I.53, 52.219-9, Small Business Subcontracting Plan	N/A	Small Business Subcontracting Plan	Approve	CO	As revisions are made	As revisions are made
160(a)	H.52 DOE-H-2050 Incorporation of Small Business Subcontracting Plan (Oct 2014) (MOD 46)	N/A	Individual Subcontract Report (ISR) at <a href="http://www.esrs.gov">http://www.esrs.gov</a>	Approve	CO	Semi-Annual and within 30 days of contract completion	<del>3/31 and 9/30</del> 4/30 and 10/31 (MOD 66)
160(b)	H.52 DOE-H-2050 Incorporation of Small Business Subcontracting Plan (Oct 2014) (MOD 46)	N/A	Summary Subcontract Report (SSR) at <a href="http://www.esrs.gov">http://www.esrs.gov</a>	Approve	CO	Annual	10/30
161	H.30 DOE Mentor-Protégé Program (May 2000)	N/A	Annual Lessons Learned Report	Information	CO	Annual	Annually by 9/30
162	I.11, 52.203-13, Contractor Code of Business Ethics and Conduct (Jun 2020)	N/A	Contractor Code of Business Ethics and Conduct	Information	CO	Once and when changes occur	Within 30 days after Notice to Proceed

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
163	I.183, 970.5203-1, Management Controls (CLASS DEVIATION) (DOE Acq Letter 2005-04) (Jun 2007) RESERVED (MOD 32)	N/A	Status of Recommendations from Audits (audits performed by its internal audit activity and any other audit organization)	Information	CO	Annual	Annual by 9/30
164	Section H, Annual Management Controls Statement	N/A	An Assurance Statement	Information	CO	Annual	6/3/23 and Annually thereafter on 9/30
165	Weapons Accountability Report: DOE O 473.2A		Weapons Accountability Report	Information	CO	Monthly	15 <sup>th</sup> of each month
166	Incidents of Security Concerns Report: DOE O 470.4B		Incidents of Security Concern	Information	CO	Monthly	15 <sup>th</sup> of each month
167	I.219, DEAR 970.5232-3, Accounts Records and Inspection (MOD 32)		Internal Audit Implementation Design	Approval	CO	60 Days after NTP	As changes occur
168	I.219, DEAR 970.5232-3 Accounts, Records and Inspection (MOD 32)		Annual Audit Plan	Approval	CO	120 days after NTP	6/30 each year
169	I.183, 970.5203-1, Management Controls; and I.219, DEAR 970.5232-3 Accounts, Records and Inspection (MOD 32)		Audit Reports, including status of Recommendations from Audits (audits performed by its internal audit activity and any other audit organization)	Approval	CO	Annual	Jan 31 each year
170	G.7 Cost Reporting Procedures (MOD 32)		Monthly Incurred Cost Report in support of the Drawdown Review	Information	CO	10 working days after accounting closes for each month	Monthly
171	DOE PFAS Research Plan mandated by DOE's 2022 PFAS Strategic Roadmap (MOD 39)	C.7.4.7, 7)a.i	Sample Summary Report	Information	COR	Once	May 30, 2024

Item #	Source Reference	PWS Reference	Deliverable	DOE Action	CBFO Recipient/ Approver	Frequency	Due Date
172	DOE PFAS Research Plan mandated by DOE's 2022 PFAS Strategic Roadmap (MOD 39)	C.7.4.7, 7)a.ii	Sample Summary Report	Information	COR	Once	July 15, 2024
173	DOE PFAS Research Plan mandated by DOE's 2022 PFAS Strategic Roadmap (MOD 39)	C.7.4.7, 7)a.iii	Summary Report	Information	COR	Once	July 15, 2024
174	Parent Organization Support (MOD 39)	H.78	Parent Organization Support Plan (POSP)	Approval	CO	Once and Annual	July 31
175	DOE-HQ, DOE-EM and CBFO Reporting (MOD 66)	N/A	Employee Relocation and LAFHO Program Benefit Report	Approve	CO	Annual	11/30
176	H.6 and HCA Letter, dated 11/20/2024 to Aaron Deckard (MOD 66)	N/A	Prior Service Credit for Non-Critical Skill Positions Evaluation Report -One year Pilot program	Approve	CO	Once for Pilot Program	1/31/2026

\*Transition Deliverable

\*\*Transition and Periodic Deliverable